

Asia-Pacific Regional Committee SUPERVISORY DIRECTORS' MEETING Dhaka, Bangladesh 22 February 2023, 09:30-12.00 BST

(The meeting will take place at the Ballroom (Floor 12), Sheraton Dhaka Hotel)

AGENDA*

Time (BST)	
09:30 - 09:40	Welcome remarks and introduction Professor Shibli Rubayat-Ul-Islam, Chairman of Bangladesh SEC
09:40 - 10:10	2. Financial literacy and investment behaviour Presentation by Farhana Faruqui, Director of International Affairs Department, Bangladesh SEC
10:10 - 10.40	 The use of RegTech / SupTech in securities market Presentation by Ms. Mridusmita Goswami, General Manager, Information Technology
10.40 - 10.55	Coffee Break (Foyer - besides the meeting room)
10:55 - 11:25	4. Issues from the review of firms providing online brokerage, distribution and advisory services Presentation by Mr. Anthony Wong, Director of Intermediaries Supervision, Hong Kong SFC
11:25 - 11:55	5. Engagement of External Service Providers for MAS Inspections Presentation by Jeryl POH, Deputy Director, Capital Markets Intermediaries Department III, Monetary Authority of Singapore
11:55 -12.00	6. Closing remarks Professor Shibli Rubayat-Ul-Islam, Chairman of Bangladesh SEC

^{*}Each presentation will be followed by Q/A session and discussion with members.

12.00 – 13.30	Lunch at Nawab (Floor 12), Sheraton Dhaka Hotel
13.30 – 16.00	Enforcement Directors' meeting
18.30	Dinner at Garden Kitchen (Floor 14), Sheraton Dhaka Hotel (Dress code: Official casual)





Asia-Pacific Regional Committee ENFORCEMENT DIRECTORS' MEETING Dhaka, Bangladesh 22 February 2023, 13:30-16.00 BST

(The meeting will take place at the Ballroom (Floor 12), Sheraton Dhaka Hotel)

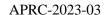
12.00 – 13.30	Lunch at Nawab (Floor 12), Sheraton Dhaka Hotel

AGENDA*

Time (BST)	
13:30 - 13:40	Welcome remarks and introduction Professor Shibli Rubayat-UI-Islam, Chairman of Bangladesh SEC
13:40 - 14:20	2. Effect of board quality on investor's decision Presentation by Dr. A.T.M Tariquzzaman, Executive Director of Corporate Finance Department, Bangladesh SEC
14:20 - 15.00	3. Challenges posed by use of mule accounts in market manipulation, insider trading Presentation by Mr. Anshul Goyal, Assistant General Manager, Integrated Surveillance Department, India SEBI
15.00 - 15.15	Coffee Break (Foyer - besides the meeting room)
15.15 - 15.55	4. Hong Kong Ramp & Dump schemes committed by sophisticated syndicates Presentation by Mr. Kit Wilson, Executive Director of Enforcement, Hong Kong SFC
15:55 - 16:00	5. Closing remarks Professor Shibli Rubayat-Ul-Islam, Chairman of Bangladesh SEC

^{*}Each presentation will be followed by Q/A session and discussion with members.

18.30	Dinner at Garden Kitchen (Floor 14), Sheraton Dhaka Hotel
	(Dress code: Official casual)





ASIA PACIFIC REGIONAL COMMITTEE 23 February 2023, 9.00-16.00 Bangladesh Time Dhaka, Bangladesh

(The meeting will take place at the Ballroom (Floor 12), Sheraton Dhaka Hotel)

Agenda

- 1. Opening remarks and approval of the agenda (9.00h 9.10h) (10 minutes)
 - Remarks by Mr. Shigeru Ariizumi (Japan FSA), APRC Chair and Mr. Shibli Rubayat-Ul-Islam (Bangladesh SEC), APRC Vice Chair, and approval of the agenda.
- **2.** Update on Board meetings for information and discussion (9.10h 9.25h) (15 minutes)
 - Mr. Tajinder Singh, Deputy Secretary General of IOSCO will provide an update on Board discussions.
- **3.** Report by Chair of Supervisory/Enforcement Directors' meetings for update (9.25h 9.55h) (30 minutes)
 - Chair of Supervisory and Enforcement Directors' meetings will report the overview of the discussion at each meeting. Mr. Shibli Rubayat-Ul-Islam (Bangladesh SEC), APRC Vice Chair

Coffee Break: 9.55h - 10.15h

- **4. Fintech** for information and discussion (10.15 11.45) (**90 minutes**)
 - Tuang Lee Lim, Chair of Fintech Task Force (FTF) will provide an update of FTF work.
 - APRC Fintech Working Group Chair (JFSA) will provide an update about the draft TOR and draft survey to APRC members.
 - Members will be invited to share their regulatory and market updates on fintech-related issues.
- 5. Sustainable finance Part I for information and discussion (11.45h 12.30h) (45 minutes)
 - Lee White, IFRS foundation will present an update on their development, followed by a short Q/A. (*online presentation*).
 - SFC Hong Kong (Guan Tan, Senior Manager, International Affairs & Sustainable Finance) will provide brief remarks on the ISSB standards, the IOSCO timeline for the endorsement process, and proposed next steps for the APRC Sustainable Finance Working Group (SFWG).

Lunch: 12.30h - 13.45h Nawab (Floor 12)

- **Sustainable finance** *Part II* for information and discussion (13.45h 14.15h) (30 minutes)
 - FSC Chinese Taipei (Hsiho Huang, Director of Securities Firm Division) will present its recent development in sustainable finance.
 - Members will be invited to provide their comments and updates on regulatory and market developments.
- **6. APRC work on enhancing supervisory cooperation** for information and discussion (14.15h 14.30h) (15 minutes)
 - Progress update and discussion about the APRC Supervisory MMoU (Mr. Gerard Fitzpatrick, Senior Executive Leader, International, Australia ASIC).



Coffee Break: 14.30h - 14.50h

- **7. Other workstreams** *for discussion* (14.50h 15.50h) (**60 minutes**)
 - Market Fragmentation
 - Update on the IOSCO Asia Pacific Hub SC Malaysia
 - Potential new work on SME Financing through Regional Capital Markets SC Malaysia
 - Potential new work on the use of RegTech/SupTech Anjan Patel, Deputy General Manager, SEBI India

Members will be invited to exchange views, as well as provide their initial comments on the proposed new projects (this will be followed by written comments on the proposed new projects after the meeting in Bangladesh).

- **8.** Next meeting and AOB (15.50h 16.00h) (10 minutes)
 - EU- IOSCO Asia Pacific Forum in March 2023
 - APRC meeting in June 2023, Thailand (IOSCO Annual Meeting)
 - APRC Plenary meeting in Q1 2024
 - Remarks from APRC Chair and Vice Chair

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19.00h	Closing ceremony Hall of fame, Bangabandhu International Conference Center (BICC)
20.30h	Gala dinner
	Celebrity hall (Dinner), Bangabandhu International Conference Center (BICC)

Remark:

The closing ceremony will be followed by a cultural program and Gala dinner. The shuttle service will be available at 17:30 at Sheraton, Dhaka. From BICC, the shuttle service will be available after the event. Dress Code - Official casual