



Asia/Pacific Group  
on Money Laundering

## **FATF/APG ASSESSOR TRAINING WORKSHOP**

**Hong Kong Police Force Headquarters, Hong Kong, China**

**8-12 January 2018**

### **AGENDA**

#### ***Presenters / Role Players***

- Mr. José Carapinha, APG Mutual Evaluation Committee Co-Chair, Macao, China
- Mr. Wayne Walsh, Hong Kong, China
- Mr. Stewart McGlynn, Hong Kong, China
- Mr. Shaun Mark, Australian Federal Police
- Mr John Carlson, FATF Secretariat
- Mr. Eliot Kennedy, APG Secretariat

#### ***Purpose of Workshop***

Primarily to train experts to participate as assessors for FATF and APG mutual evaluations in 2018-19 and 2019-20.

#### ***Administrative information***

- The workshop is hosted by the Financial Services and the Treasury Bureau and the Hong Kong Police Force.
- The workshop venue is the Hong Kong Police Force Headquarters, located at No. 1 Arsenal Street, Wan Chai, Hong Kong, China
- All participants should gather at the lay-by outside the Police Headquarters (refer to General Information Document for picture) at **08.00 on Monday 8 January**. Police officers will escort you to the training venue.
- Participants are required to bring a laptop, netbook or tablet to the workshop for report writing.

## COURSE OUTLINE

<b>Day 1 – Monday 8 January 2018</b>	
0900	Welcome
0910 – 0940	<b>Opening Questionnaire</b> (30 mins) <ul style="list-style-type: none"> <li>• <i>APG/FATF secretariats</i></li> </ul>
0945 - 1015	<b>Module 1 – The Mutual Evaluation Process</b> (30 mins) <ul style="list-style-type: none"> <li>• <i>John Carlson</i></li> </ul>
1015 – 1030	Break (15mins)
1030 - 1200	<b>Module 2 – Assessing Technical Compliance</b> (1 hr 30 mins) <ul style="list-style-type: none"> <li>• <i>José Carapinha</i></li> </ul>
1200 – 1300	Lunch (1hr)
1300 – 1315	Results and Feedback on Opening Test (15 mins) <ul style="list-style-type: none"> <li>• <i>Eliot Kennedy</i></li> </ul>
1315 – 1330	<b>Module 3 – Introduction to Technical Compliance assessment exercise</b> (15 mins) <ul style="list-style-type: none"> <li>• <i>José Carapinha</i></li> </ul>
1330 - 1730	<ul style="list-style-type: none"> <li>• Teams to work on the TC exercise the rest of the afternoon</li> </ul>
<b>Day 2 – Tuesday 9 January 2018</b>	
0900 – 1000	<b>Module 4 – Discussion on Technical Compliance assessment exercise</b> (1hr) <ul style="list-style-type: none"> <li>• <i>Wayne Walsh and Stewart McGlynn</i></li> </ul>
1000 – 1015	Break (15mins)
1015- 1200	<b>Module 5 – Risk and Context</b> (1hr 15mins + exercise 30mins)

	<ul style="list-style-type: none"> <li>• <i>Eliot Kennedy</i></li> </ul>
1200 – 1300	Lunch
1300 – 1415	<b>Module 6 – Assessing Effectiveness</b> (1hr 15mins) <ul style="list-style-type: none"> <li>• <i>John Carlson and Shaun Mark</i></li> </ul>
1415 – 1430	Break (15mins)
1430 – 1600	<b>Module 7 – The Immediate Outcomes</b> (1 hour + exercise 30mins) <ul style="list-style-type: none"> <li>• <i>Wayne Walsh and Stewart McGlynn</i></li> </ul>
1600 –	Participants should make use of the rest of the day to prepare for the mock Effectiveness Evaluation team meeting on Wednesday afternoon
<b>Day 3 – Wednesday 10 January 2018</b>	
0900 – 1030	<b>Module 8 – Data and Statistics</b> (1hr + exercise 30 minutes) <ul style="list-style-type: none"> <li>• <i>Shaun Mark</i></li> </ul>
1030 – 1045	Break (15mins)
1045 - 1215	<b>Module 9 – Discussions and Interviews</b> (1hr + exercises 30mins) <ul style="list-style-type: none"> <li>• <i>José Carapinha</i></li> </ul>
1215 – 1315	Lunch
1315 – 1415	<b>Module 10 – Writing and Presenting the Mutual Evaluation Report</b> (1 hr) <p style="text-align: center;"><i>Eliot Kennedy</i></p>
1415 –	<b>Module 11 – Introduction to Effectiveness assessment exercise</b> (15 mins) <ul style="list-style-type: none"> <li>• <i>Eliot Kennedy</i></li> <li>• Team discussions and preparation (the rest of the afternoon)</li> </ul>

**Day 4 – Thursday 11 January 2018**

0900 – 1800	<p><b>Module 11 – Effectiveness assessment exercise</b></p> <ul style="list-style-type: none"> <li>• Mock Interviews</li> <li>• Report writing</li> </ul> <p>Participants will work in their assigned mock mutual evaluation teams.</p> <p>Each team will conduct <u>four mock interviews</u> with counterparts from the prosecutors' office, FIU, law enforcement and supervisor.</p> <p>(Role Players: FIU – <i>José Carapinha</i>; Law Enforcement – <i>Shaun Mark</i>, Prosecutor – <i>Wayne Walsh</i> and Supervisor – <i>Stewart McGlynn</i>)</p>								
9:00 – 9:30	<p><b>Interview Preparation for Teams</b></p> <p><b>Coordination meeting of role players and facilitators</b></p>								
9:30 – 10:15	<p><b>Interview Round 1</b></p> <table border="0" style="width: 100%;"> <tr> <td style="width: 50%;">FIU Official</td> <td style="width: 50%;">Red team</td> </tr> <tr> <td>Law Enforcement</td> <td>Yellow team</td> </tr> <tr> <td>Prosecutor</td> <td>Green team</td> </tr> <tr> <td>Supervisor</td> <td>Blue team</td> </tr> </table>	FIU Official	Red team	Law Enforcement	Yellow team	Prosecutor	Green team	Supervisor	Blue team
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10:30 – 11:15	<p><b>Interview Round 2</b></p> <table border="0" style="width: 100%;"> <tr> <td style="width: 50%;">FIU Official</td> <td style="width: 50%;">Blue team</td> </tr> <tr> <td>Law Enforcement</td> <td>Red team</td> </tr> <tr> <td>Prosecutor</td> <td>Yellow team</td> </tr> <tr> <td>Supervisor</td> <td>Green team</td> </tr> </table>	FIU Official	Blue team	Law Enforcement	Red team	Prosecutor	Yellow team	Supervisor	Green team
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11:15	<p><i>Coffee/tea break</i></p>								
11:45 – 12:30	<p><b>Interview Round 3</b></p> <table border="0" style="width: 100%;"> <tr> <td style="width: 50%;">FIU Official</td> <td style="width: 50%;">Green team</td> </tr> <tr> <td>Law Enforcement</td> <td>Blue team</td> </tr> <tr> <td>Prosecutor</td> <td>Red team</td> </tr> <tr> <td>Supervisor</td> <td>Yellow team</td> </tr> </table>	FIU Official	Green team	Law Enforcement	Blue team	Prosecutor	Red team	Supervisor	Yellow team
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13:45 - 14:30	<p><b>Interview Round 4</b></p> <table border="0" style="width: 100%;"> <tr> <td style="width: 50%;">FIU Official</td> <td style="width: 50%;">Yellow team</td> </tr> <tr> <td>Law Enforcement</td> <td>Green team</td> </tr> <tr> <td>Prosecutor</td> <td>Blue team</td> </tr> <tr> <td>Supervisor</td> <td>Red team</td> </tr> </table>	FIU Official	Yellow team	Law Enforcement	Green team	Prosecutor	Blue team	Supervisor	Red team
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14:30 –	<p><b>Report writing</b></p>								

18:00	<b>Mock Evaluation - Deadline</b> <u>** 18:00 – Deadline for teams to provide their reports to the Workshop coordinator **</u>
<b>Day 5 – Friday 12 January 2018</b>	
0900 – 1030	<b>Module 12 – Discussion on Effectiveness assessment exercise</b> (1hr 30 mins) <ul style="list-style-type: none"> <li>• <i>All presenters</i></li> </ul>
1030 – 1045	Break (15mins)
1045 – 1200	<b>Module 13 – Challenges in conducting assessments</b> <b>+ Q&amp;A (1hr 15mins)</b> <ul style="list-style-type: none"> <li>• <i>Wayne Walsh and Stewart McGlynn</i></li> </ul>
1200	Closing of Training