



**Asia-Pacific
Economic Cooperation**

2013/SOM3/ACT/WKSP/011

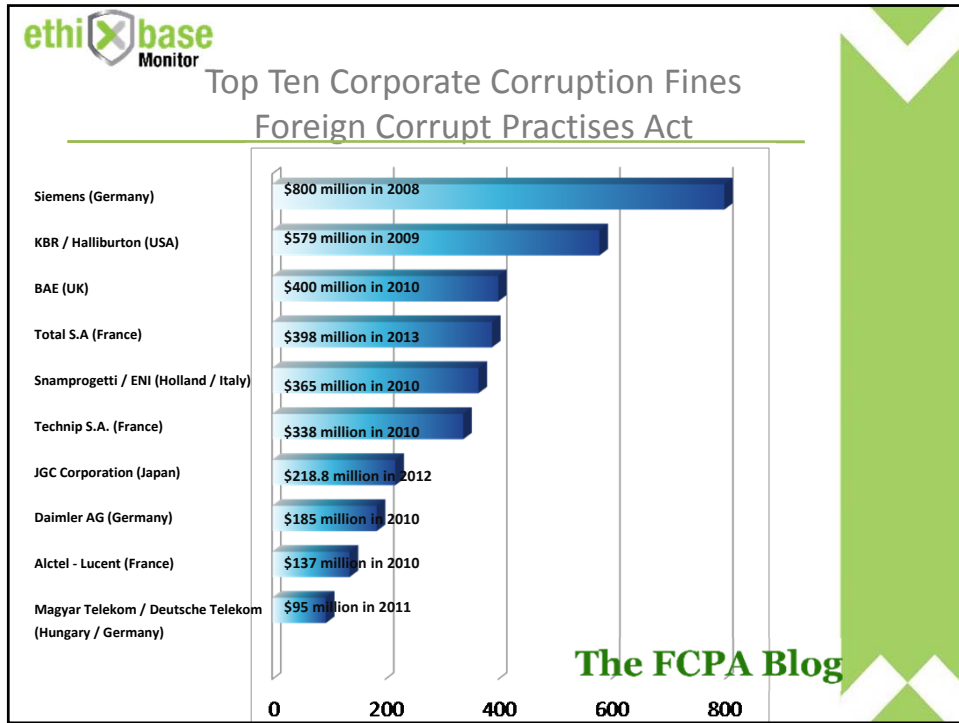
Current Global Best Practices for Prevention of Facilitation Payments and Managing Gratuities

Submitted by: ethiXbase

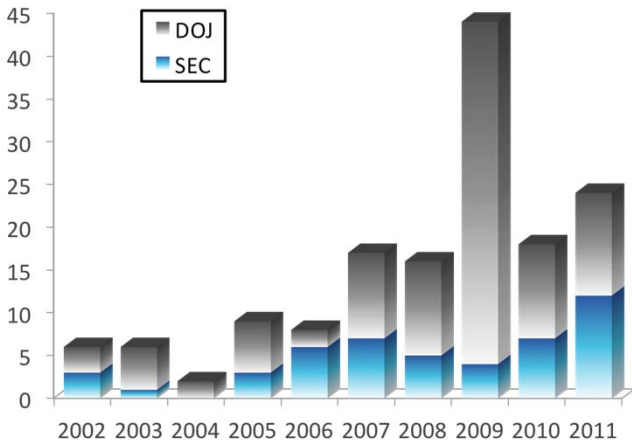


**Workshop on Strengthening Integrity Through
Public-Private Partnership: Preventing
Facilitation Payment and Managing Gift Rules
Medan, Indonesia
24 June 2013**





Individuals Charged: FCPA 2002-2011



The FCPA Blog



The FCPA Blog

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Japanese exec jailed in Indonesia for bribery

By The FCPA Blog | Thursday, December 6, 2012 at 4:42AM



A Japanese national was sentenced this week in Indonesia to three years in prison for bribing a judge of a local industrial relations court.

Shiokawa Toshio became Indonesia's first foreign graft convict, according to the

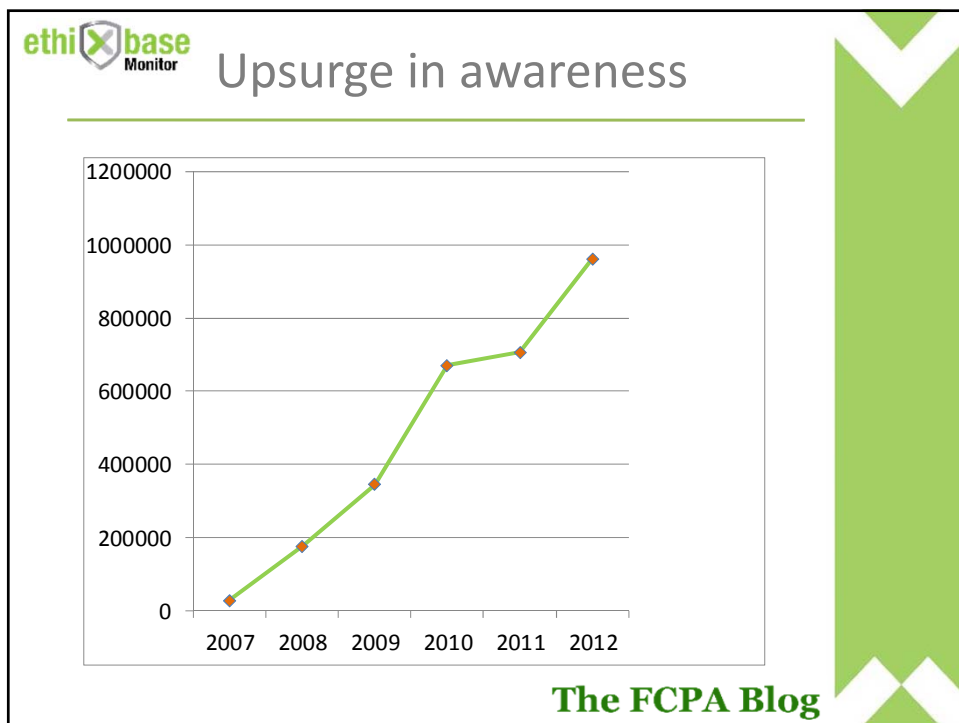
Jakarta Globe.

He was tried and sentenced by the Bandung Anti-Corruption Court on Tuesday.

Toshio was also ordered to pay a \$20,000 fine or serve an extra six months in jail.

Prosecutors had sought a seven-year sentence.





The FCPA Blog

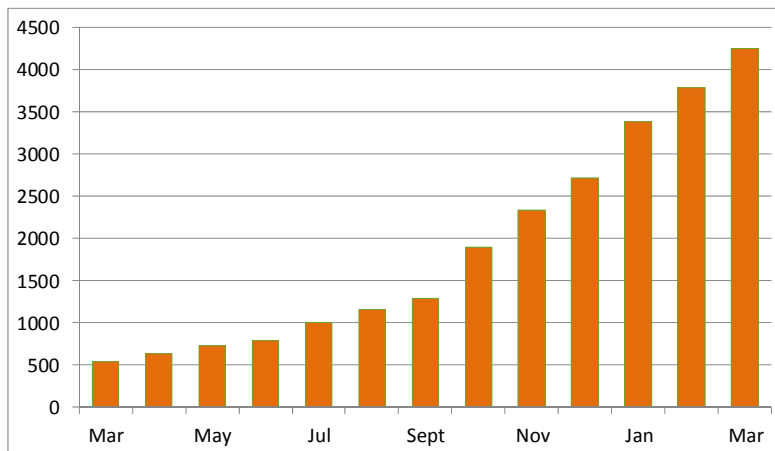
Mainstream Press



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Circulation of daily FCPA Blog emails is expected to reach 10,000 by end of 2013



March 2012 to March 2013



93 Companies under Investigation

Every FCPA Investigation in 2012 identified corruption by third party intermediaries.

- | | |
|---|---|
| 1) ABM Industries Inc | 16) Bio-Rad Laboratories Inc |
| 2) Accenture plc | 17) Bristol-Myers Squibb Company |
| 3) Alcoa Inc | 18) Brookfield Asset Management Inc |
| 4) Allied Defense Group Inc | 19) Bruker Corporation |
| 5) Alstom SA | 20) Central European Distribution Corporation |
| 6) Analogic Corporation | 21) Cobalt International Energy Inc |
| 7) Anheuser-Busch InBev SA/NV | 22) Dialogic Inc |
| 8) Archer-Daniels-Midland Company | 23) Diebold Incorporated |
| 9) AstraZeneca plc | 24) DreamWorks Animation SKG Inc |
| 10) Avon Products Inc | 25) Dun & Bradstreet Corporation |
| 11) Baker Hughes Incorporated (BJ Services) | 26) Eli Lilly and Company |
| 12) Barclays plc | 27) Embraer SA |
| 13) Baxter International Inc | 28) Eni SpA |
| 14) Beam Inc | 29) Expro International Group plc |
| 15) BHP Billiton Ltd | 30) Fresenius Medical Care AG & Co. KGaA |



93 Companies under Investigation

Every FCPA Investigation in 2012 identified corruption by third party intermediaries.

- | | |
|---|---------------------------------|
| 31) Furmanite Corporation | 46) Kraft Foods Inc |
| 32) GlaxoSmithKline plc | 47) Las Vegas Sands Corp |
| 33) Goldman Sachs Group Inc | 48) Layne Christensen Company |
| 34) Goodyear Tire and Rubber Company | 49) LyondellBasell Industries |
| 35) Halliburton Company | 50) Marathon Oil Corporation |
| 36) Harris Corporation | 51) Medtronic Inc |
| 37) Hewlett-Packard Company | 52) Merck & Co Inc |
| 38) IDT Corporation | 53) Microsoft Corporation |
| 39) Image Sensing Systems | 54) Motorola Solutions Inc |
| 40) Ingersoll-Rand plc | 55) MTS Systems Corporation |
| 41) International Business Machines Corporation | 56) NBCUniversal Media LLC |
| 42) Kimco Realty Corporation | 57) NCR Corporation |
| 43) KKR & Company LP | 58) Net 1 UEPS Technologies Inc |
| 44) Koninklijke Philips Electronics NV | 59) News Corporation |
| 45) Kosmos Energy Ltd (Modex Inc) | 60) Nordion Inc |



93 Companies under Investigation

Every FCPA Investigation in 2012 identified corruption by third party intermediaries.

- | | |
|--|--|
| 61) Olympus Corp | 76) Sojitz Corp |
| 62) Optimer Pharmaceuticals Inc | 77) Sony Corporation |
| 63) Oracle Corporation | 78) STR Holdings Inc |
| 64) Owens-Illinois Group Inc | 79) Stryker Corporation |
| 65) Panasonic Corporation | 80) Tata Communications Limited |
| 66) Parametric Technology Corporation | 81) Tesco Corporation |
| 67) Parker Drilling Company | 82) Teva Pharmaceutical Industries Limited |
| 68) Protective Products of America Inc | 83) Time Warner Inc |
| 69) Qualcomm Incorporated | 84) Total SA |
| 70) RINO International Corporation | 85) Tyco International Ltd* |
| 71) Sciclone Pharmaceuticals Inc | 86) Viacom (Paramount Pictures) |
| 72) Sensata Technologies Holding NV | 87) Wal-mart Stores Inc |
| 73) Siemens AG | 88) Walt Disney Company |
| 74) SL Industries Inc | 89) Walters Power International LLC |
| 75) Smith & Wesson Holding Corporation | 90) Weatherford International Ltd |
| | 91) WS Atkins plc (PBSJ Corp) |
| | 92) WW Grainger Inc |
| | 93) Wynn Resorts Limited |



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‘Compliance policies cannot work unless effectively communicated throughout a company. Accordingly, **DOJ and SEC will evaluate whether a company has taken steps to ensure that relevant policies and procedures have been communicated throughout the organization**, including through periodic training **and certification** for all directors, officers, relevant employees, and, where appropriate, agents **and business partners**.’





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Founded by Richard L. Cassin

Founder of the FCPA Blog and now serving
as Publisher and Editor

- Named one of the 100 Most Influential People in Business Ethics in 2010 and 2011 by Ethisphere Magazine
- FCPA Blog was listed by the Risk Management Monitor as one of the ten best risk-management and insurance-industry blogs
- Pro business and pro compliance
- Dedicated to helping companies find practical compliance solutions
- His opinions published in the New York Times, The Wall Street Journal, the Washington Post, Business Week, Variety, Bloomberg's news wire, Reuters, NPR, Fox News, CNN, and other
- Previously a senior partner in a major international law firm and head of its Asia practice



The FCPA Blog

Gaining Increased Market Status

"By way of background, [Richard Cassin] is a friend to TI-USA and the anti-corruption movement at large. The FCPA blog is definitely the most widely read blog on foreign bribery issues in the US"

- Shruti Shah
Senior Policy Director
Transparency International-USA



The FCPA Blog currently has 15 editors, including many of the compliance industry's leading practitioners, academics, industry representatives, and NGO officials.

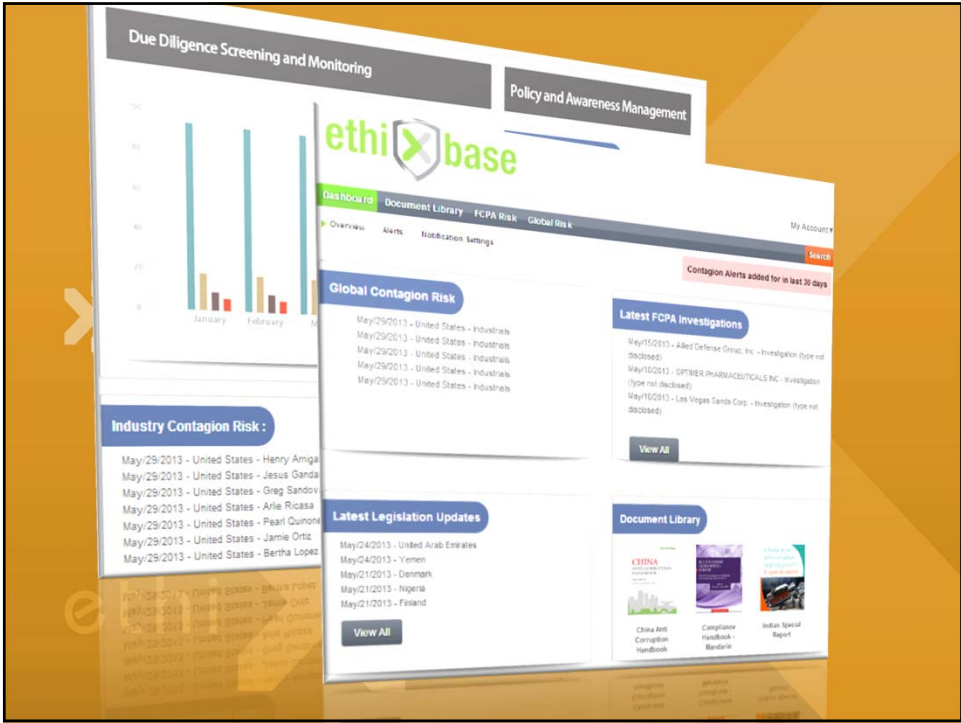


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Awareness is just the start. The Ethical Alliance will roll out many more programs to promote ethics.

Thank you
Scott Graham
sgraham@ethixbase.com