Scenario C: Check Import Shares in T10



Scenario C - Half cut on TLs 280512.00 and 280519.00

- ❖ Check Import share in T10, cannot find the same code
- ❖ Note that Column HS2002_change is flagged 'Y'
- Check Concordance Table for changes to these TLs

TL in T04	TL in T10	NAMA Share	Chapter Share
28051200	→ 28052100	0.5	5.0
28051900	→ 28051900	0.2	2.0
•	28052200	7.1	70.0
Total		7.8	-77

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Scenario C: Checking the Three Constraints

Scenario C - Half cut on TLs 280512.00 and 280519.00



Imports: 10% of NAMA Trade



Correspond to 280519.00, 280521.00 and 280522.00 in T10 Account for 7.8% (*T10 Column14*) NAMA trade.



ACC: 80% tariff lines OR 91% trade of HS chapter

Two TL account for 67% tariff lines of Chapter 28 Imports account for 77% (*T10 Column15*) of Chapter trade

Scenario C: Update the Tables



Scenario C - Half cut on TLs 280512.00 and 280519.00

To Do in T04 - Concession Table

- ❖ Put '7B1' in Column 27 for the two TLs
- For these TLs only, copy the value for Formula_7B half_cut (Column 31) to New Bound Duty (Column 36)
- New Bound Duty Binding (Column 37)
 [B]
- New Bound Duty Nature (Column 38)
 [A]
- New Implementation From (Column 47) [2012]
- New Implementation To (Column 48) [2022]

To Do in T10 - Import Statistics

- Fill in Column 16 of TL 280519.00, 280521.00, and 280522.00 with the codes 280519.00, 280512.00 and 280519.00
- Based on standard HS96-HS2002 concordance, allocation is 100% (Column 18)
- To indicate TL was chosen for flexibility option, put 'Y' in Paragraph 7 column (Column 19)

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Other Issues - Sectoral



Assume Member wants to participate in a proposal for sectoral agreement called "Home Fixtures" for HS Codes 3922.10 and 3922.90, Duty Free, and with a 3-year implementation period (4 equal installments) starting on the year following the entry into force of the DDA results

To Do in T04 - Concession Table

For these two TLs (392210.00, 392290.00) in T04 Concession Table, fill in the Sectoral Issues Columns (Cols 33-35):

- ❖ Sectoral Name (Column 33) [Home Fixtures]
- ❖ Sectoral Duty (Column 34) [0]
- Sectoral Implementation To (Column 35) [2015]

Other Issues - New INRs



Another Member BBB negotiated to have INR on TL 290721.00

To Do in T04 - Concession Table

Put Member BBB's 3-digit ISO alpha code [BBB] in column for New INR (Column 45) for the above TL

Other Issues - Changes on Nomenclature



Member wants to align its concession nomenclature with its applied tariff nomenclature, i.e., it wants to subdivide TL 310100.00 into TLs 310100.10 and 310100.90 as follows:

310100 Animal or vegetable fertilisers, whether or not mixed together or chemically treated; fertilisers produced by the mixing or chemical treatment of animal or vegetable products. 31010010 - - - Animal fertilizers 31010090 - - - Other.

Other Issues – Changes on Nomenclature



To Do in T04 - Concession Table

- Copy the current TL 310100.00 row and paste it twice
- . Change the original TL from a data TL to a descriptor TL by changing TLLevel (Column 6) from 999 to another number, e.g., [6]
- ❖ Clear data columns of original TL (Columns 10-13, 16-53)
- * Edit Description column (shown in previous slide) for the two new TLs 310100.10 and 310100.90
- ❖ Adjust ID for the new TLs (Column 2) to any 2 consecutive numbers in between the original ID and that of the next row [11.5] [11.8].
- ❖ Put [A] in Modification flag column (Column 14)

Other Issues – Changes on Nomenclature



Fill in T11_Correlation table as follows:

TL_T04	TLS_T04	TLENF	TLS_ENF
4	5	6	7
31010010		31010000	
31010090		31010000	

Other Issues - Generating Report



- Report Module is in MS Access database file: AAA_ENF_DRAFT.mdb
- ❖ In EXCEL select all data records of T04 (Rows 7 to 37) and choose Copy (or press CTRL-C)
- Open database table T04_ConcessionNon_Ag in the AAA_ENF_DRAFT.mdb
- If table is not empty, either delete records or rename the table (to preserve old version). Copy and Paste Structure Only into a new empty table with the name T04_ConcessionNon_Ag
- Paste the records copied from EXCEL (CTRL-V)

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Other Issues - Generating Report



- Go to the Reports Module and select
 3_Part_I_Section2_Non_AG_tariffs
- · Report will show the new concessions
- Numbers in brackets refer to sectoral negotiations not yet finalized

Complete ECDS Version



- ❖ Save the Excel table with filename AAA_ENF_02M.xls.
- Copy and Paste the contents of the other Excel tables (T10 and T11) to the corresponding MS Access tables to have a complete ECDS in MS Access format
- The ECDS Version 02M (Excel and MS Access) is now ready for submission!

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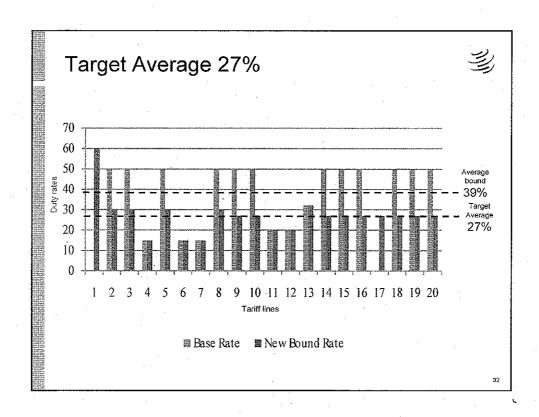
Step-by-Step Guide to Prepare the Electronic Comprehensive Draft Schedule (ECDS) from the Electronic Negotiating File (ENF)

For Small, Vulnerable Economies (SVEs)

Paragraph 13(a) SVEs



Current Bound NAMA Average	Overall Target Average
>= 50%	30
>= 30% and < 50%	27
>= 20% and < 30%	18
< 20%	A minimum line-by-line reduction of 5 percent on 95 percent of TLs
	or bind at the overall average that would result from that line-by-line reduction.



Main Tasks - SVEs



- ❖ Current Bound tariff average □ range □ target
- Decide initial rates:
 - · For bound tariff lines: bound duty
 - For unbound tariff lines: determined by individual Member
- Decide final bound rates:
 - Should not be higher than existing bound rates
 - . Simple average should be equal to or lower than the target
- Could use current applied schedule as basis, but need to provide correlation table between applied and ENF, for easier verification

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Strategies for Target Averages



- Freely set the new bound rates, as long as
 - The new average not exceeding the target
 - The new duties for bound lines not exceeding the existing bound
- ❖ Infinite choice (for a schedule with 5,000-10,000 tariff lines)
- Strategy is important for efficiency
 - First, set initial duties according to certain rules for all tariff lines to make the average at least close to the target
 - Then, adjust these initial duties of individual sectors, HS chapters or tariff lines based on national priorities

Determine the Target



- ❖ Calculate the bound tariff average of NAMA lines sum of duties / number of bound tariff lines = 39.3
- Target average to be met [27]
- Three strategies to set the initial duties
 - Scenario 1: Ceiling Binding
 - Scenario 2: Based on Existing Bound Duties
 - Scenario 3: Based on Applied Duties

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Prepare the Work Table with T04



- Change version from 01S to 02M
- Add one extra column just before Column 36 Needed for the applied schedule

Column A: For applied duties

Add three extra columns for strategies

Column B: Scenario 1 - Ceiling binding

Column C: Scenario 2 - Based on Bound duties

Column D: Scenario 3 - Based on Applied duties

❖ Add Column E (Flag) for calculations

Scenario 1: Ceiling Binding



Use the target as Initial duties for all tariff lines

- ❖ Fill in Column B, put [27] across all tariff lines
- ❖ Check for binding violation [Column E]
- ❖ Check the overall average and adjust [Column B] to reach the

Scenario 2: Reduce Existing Bound Duties



Method A:

Set initial duties as existing bound duties minus a percentage point, which equal to

Average of existing bound duties minus the target

For this schedule: 39.3 - 27 = 12.3 points

- ❖ Put in Column C: New bound duty = base duty 12.3
- . For unbound items put 27
- . Check the overall average and adjust to reach the target
 - . Check for negative, zero or very low new bound duties
 - . Check cut on applied duties

Scenario 2: Reduce Existing Bound Duties



Method B:

Set initial duties as existing bound duties times a percentage, which equal to the target divided by the average of existing bound duties

For this schedule: 27/39.3 = 69%

- ❖ Put in Column C: New bound duty = base duty * 69%
- · For unbound items put 27
- . Check the overall average and adjust to reach the target
 - Check for very low new bound duties
 - . Check cut on applied duties

Scenario 3: Increase Applied Duties



Method A:

Set initial duties as applied duties plus a percentage point, which equal to the target minus average of applied duties

For this schedule: 27-12.3 = 14.7 points

- ❖ Put in Column D: New bound duty = applied duty + 14.7 -
- ❖ For Unbound items put 27
- . Check the overall average and adjust to reach the target
 - . Check for binding violations

Scenario 3: Increase Applied Duties



Method B:

Set initial duties as applied duties times a percentage, which equal to the target divided by the average of applied duties

For this schedule: 27/12.3 = 220%

- ❖ Put in Column C: New bound duty = applied duty * 220%
- ❖ For unbound items put 27
- Check the overall average and adjust to reach the target
 - . Check for binding violations

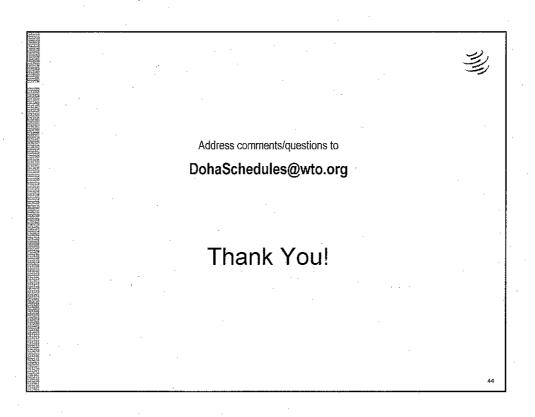
..

Update the NAMA Concessions Table (T04岁

To Do in T04 - Concession Table

- Fill-in the following columns for each data line (TLLevel (Column 6) = 999):
- New Bound Duty AV (Column 36) [copy your new bound duties from Column B, C or D and paste values]
- For Unbound duties update the Base duty
- New Bound Duty Binding (Column 37) [B]
- New Bound Duty Nature (Column 38) [A]
- New Implementation From (Column 47) [2012]
- ❖ New Implementation To (Column 48) [2022]
- Delete columns A to D and Flag

Download the Materials All files used here are available for download at WTO Member's site: http://members.wto.org WORLD TRADE ORGANIZATION WTO MEMBERS' SITE This section contains correlation tables between unbound tariff lines in the preliminary draft Electronic Negotiating Files (HS 2002 nomenclature) and tariff lines in the 2001 MFN applied tariffs (HS 1996 nomenclature) for 21 formula. Expand all | Colleges all GATT documents Agriculture Agriculture Objuste Stitlement distabase Integrated distabase UTC aftering UTC aftering Pluritate out forcements Pluritate of forcements Pluritate out forcements Distable force Distable forcements Distable force How to access notifications data submitted by Members to the WTO; Central Registry of Hotifications (CRM) This section contains statistical information and other documentation relating to the work of the Committees administering the Purilateral Agreements (excluding official Jopunnents in the GPA7..., TCA7..., IBA7... and IAA4... series) **8**) Selected WTO publications in electronic formet Services onlin Regional Trade Agreements Access by Members to the RTA Dalahose as presented at



Regional Workshop on Market Access Related Issues for Asian Economies

The Scheduling Process in the NAMA Negotiations

Jurgen Richtering Economic Research and Statistics Division WTO Secretariat

This presentation has been prepared by the Secretariat under its own responsibility and is without prejudice to the positions of Members and to their rights and obligations under the WTO and to the establishment of modalities.



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NAMA Electronic Negotiating File Data, tables structure, columns



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Step-by-step guide to prepare the ECDS from the ENF

WTO Goods Schedules

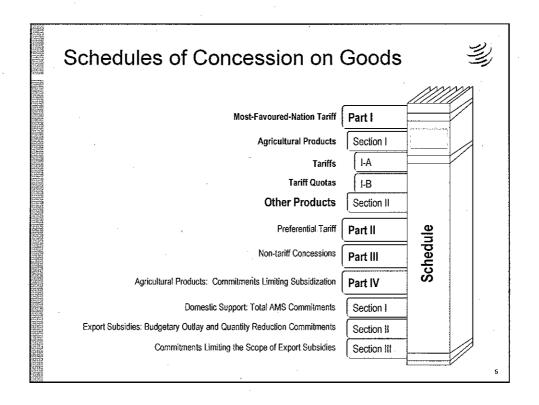


- ❖ All WTO Members have a Schedule of concessions on goods
- Schedules are part of the WTO Agreement and have the same legal status as any WTO Agreement
- ❖ Schedules may be in any of the official WTO languages

WTO Goods Schedules



- Represent part of the outcome of negotiators work (the other part is represented through rule/text)
- Record concessions regarding tariff, tariff quotas and others
 - Maximum permissible customs duties to be charged on imports
 - Tariff quota volume and in-quota tariff rates
 - Ag commitments on domestic support and export subsidies
 - Non Tariff Concessions



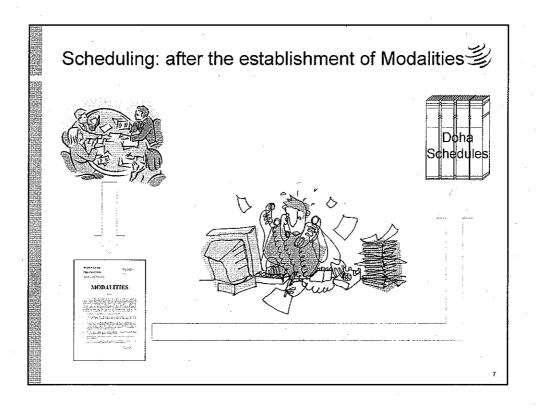
Part I Section II - NAMA Products

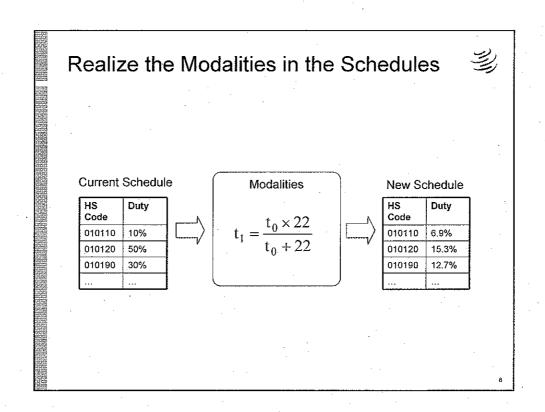


- EXAMPLE OF A PAPER VERSION: NON-AGRICULTURAL TARIFF SECTION SCHEDULE (Roman Number – Name of Member)

This schedule is authentic only in the [English] [French] [Spunish] language PART I - MOST-FAVOURED-NATION TARIFF

Tariff Ex item no. (HS2002)	Descripfinn of products	Base rate of duty		Final bound rate of duty	Implementation period		Initial negotiating right on the	Other duties and charges	s and conditions	
			Ad val. (%)	(U/B)	Ad val. (%)	From	To	сплеемия		
1		2	3.A	3.B	4	5.A	5.B	6	7	×
03		FISH AND CROSTACEANS, MOLLUSCS AND OTHER AQUATIC INVERTEBRATES								
0301	ì	Livefish .					1			
030110	1	- Omemental lish		ŀ		į	1			
03011000	ex01	- Goldfish (Carassius auratus auratus).	1	υ	: U		1	ì		
03011000	ex02	Other	5.0	₿	4,0	2010	2015		10	
93019		- Other Jise fish :		i	1	!	1			
03019100		Trour (Salmo trouta, Salmo gairdneri, Salmo elarki. Salmo aguabenita, Saluto gilao)	30.0	В	[12][5]	2010	[2015][2010]		'	[Conditional na: fed: sectoral]
03019200		Eels (Anguilla spp.)	30.0	υ	[12] [5]	2010	[2015] [2010]			[Conditional on fish sectoral]
03019300		Carr	0	В	o		1	BRB	0	nsr schaarj
03019900		Other	30.0	ü	5.0	2010	2015	17117		
0302		Fish, fresh or chilled, excluding fish filters and other tish ment of heading No. 43.04.		_	,					
03021		- Sulmonidae, excluding livers and uses:	ļ			:		j		
03021100		Trom (Salmo truta, Salmo gairdneri, Salmo ciarki, Salmo aguahonita, Salmo gilae)	25.0	В.	25.0			CHI.	D	
03021200		Pacific salmen (Dacorhyaches spp.). Atlantic salmon (Salmo salar) and Danube salmon (Hucho hocho)	25	В	0	2013	2018	NOR, EEC	1	Concession annexed to the MP will be fully implemented on 01 01 2012.



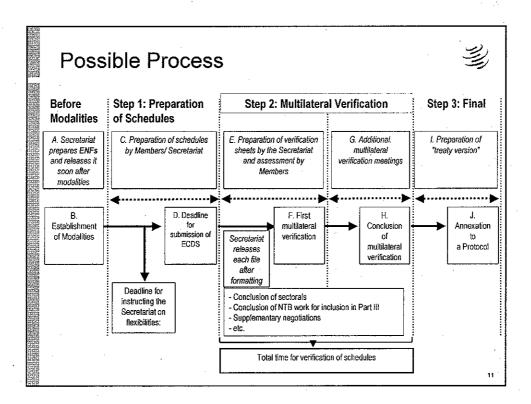


What is Scheduling?



- The last phase of the negotiation
- ❖ Apply the agreed modalities to Members tariff schedules
- ❖ Some base concession need to be established (unbound, AVEs,...)
- Submit draft schedules for multilateral review
- Check or verify schedules among Members
- ❖ Produce the legal documents Doha Round schedules

Data Used in the Whole Process Members New Offers CTS Electronic **Electronic** Doha Comprehensive IDB **Negotiating File** Schedule **Draft Schedule AVE** (ENF) (Paper) (ECDS)



Secretariat's Assistance



- ❖ Prepare the ENFs
- Developing Members may ask the Secretariat to prepare the ECDS, clear instructions and requirements need to be provided, e.g. which tariff line to shelter under which flexibility
- Standardize, reformat ECDS for multilateral reviews
- Technical verification for assessing the consistent application of the modalities
- Assistance in multilateral reviews
- Prepare treaty paper copy of the final schedules

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Concept of ENF and ECDS



- Vehicle for exchange of offers and basis for generating final schedules
- ❖ The Secretariat will release ENFs to Members shortly after the establishment of modalities
- Members put their new concessions in ENFs
- The files become ECDS when Members return them to the Secretariat
- ECDS will be verified by other Members
- ❖ The final version of ECDS becomes the new schedule

Electronic Negotiating File (ENF)



- ❖ Dataset includes all data elements required by the modalities
 - Source data from the official database: IDB and CTS
 - . Base duties have been established
 - · Pre-calculations of Swiss formula
 - Other supporting data import statistics, correlation tables
- Template provides a uniform format for data exchange
 - . Include all tables required for draft schedules
 - ❖ Table structures all columns required
 - A format agreed by all Members
 - . All Members use the same structure
 - Transparency make verification easier

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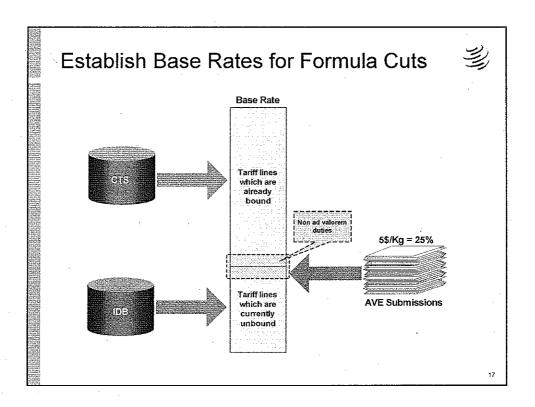
Information Provided in ENFs



- ❖ Based on the CTS database in HS 2002 nomenclature
- Base rates (formula cut)
 - ❖ For bound: base rate = existing final bound rate
 - For unbound:

base rate = 2001 applied rate + markup(s)

- AVEs for NAV tariffs
- Pre-calculate formula result for Members applying the formula
- Other concession elements (INR, ODC, implementation)
- ❖ 3-year (1999-2001) imports (in separate table, HS96)
- Other supporting tables (e.g. correlation table)
- Other parts or contents in the current schedules
 - Notes, Attachment B of ITA



Data Formats



ENFs will be made available in MS Excel and MS Access formats



MS Excel

- Pro: easy to work, flexible, used more extensively
- . Cons: data not standardized, difficult to handle large dataset



MS Access

- Pro: standardized, easy to check errors, large dataset, print reports
- . Cons: more technical, not flexible

Tables in ENF



Main Tables					
T04 Concession Table (non-agricultural products)					
T04-ITA	ITA Attachment B items (ITA Participants only)				
T05	Non-tariff measures				
T08	Schedule headnotes				

Supporting Tables						
T09	HS96-HS2002 Correlation					
T10	Import Statistics and Correlation					
T11	Correlation Table for Changes between ENF and ECDS					

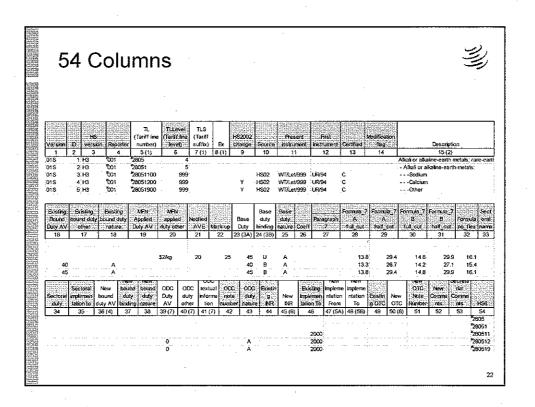
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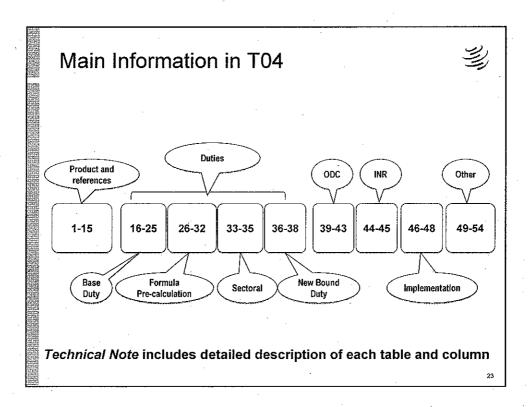
T04 - Concession Table



- The most important table in the ENF
- Contains the tariff concessions (Existing and New)
- Other concessions and commitments at tariff line level

Complexity of T04 – Concession Table

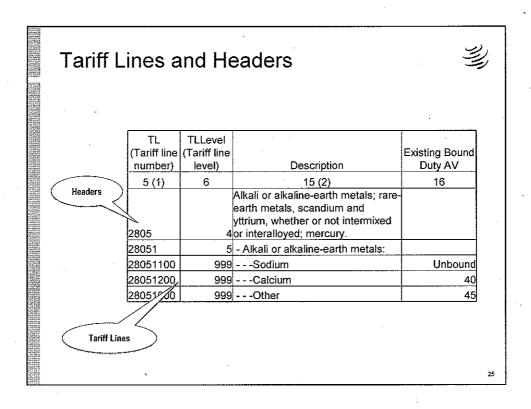






T04. C	once	ssion	Table (r	non-agricu	Itural prod	ducts)	
Version	Œ	HS versio n	Reporter	TL (Tariff line number)	TLLevel (Tariff line level)	TLS (Tariff suffix)	Ex
1	2	3	4	5 (1)	6	. 7	8 (1)
01\$	1	H3	001	2805	4		
01S	2	НЗ	001	28051	5		
01S	3	Н3	001	28051100	999		
01S	4	НЗ	001	28051200	999		
01S	5	НЗ	001	28051900	999		
						• • • • • • • • • • • • • • • • • • • •	
In examp	ole onl	y 20 ta	riff lines se	elected but in	real ENFs		

| 1000 to more than 10000 tariff lines including unbound items





	1 -	1				
HS2002 change	Source	Present instrument	First instrument	Certifi ed	Modificat	Descripti on
9	10	11	12	13	14	15 (2)
	10		12	13	17	10 (2)
	***	•••	•••	•••		•••
	:	i.				Alkali or
					.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	- Alkali d
		WT/Let/999	UR/94	С		Sodi
Υ		WT/Let/999	UR/94	С		Calc
Υ	:	WT/Let/999	UR/94	С		Othe



Existing Bound Duty AV	Existing bound duty other	Existing bound duty nature	MFN Applied Duty AV	MFN applied duty other	√Notified AVE	Mark- up
16	17	18	19	20	21	22
•••	•••		•••	•••	, , ,	
				\$2/kg	20	- 25
40		Α				
45		Α				
	•••			•••	•••	

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Columns in T04 Concession Table



					20	
Base Duty	Base duty binding	Base duty nature	Coeff	Paragra ph 7	Formula_ 7A full_cut	Formula_ 7A half_cut
23 (3A)	24 (3B)	25	26	27	28	29
		•••				•

45	U	<u>.</u> А			13.8	29.4
40	В	Α			13.3	26.7
45	В	Α		-	13.8	29.4



22		25		•	
Formula_ 7B full_cut	Formula_ 7B half_cut	Formula no_flex	Sectoral name	Sectoral duty	Sectoral implemen tation to
30	31	32	33 ⁻	34	35
14.8	29.9	16.1			
14.2	27.1	15.4			
14.8	29.9	16.1	L		
		•••	,,,	•••	

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Columns in T04 Concession Table



	:				
New bound duty AV	New bound duty binding	New bound duty nature	ODC Duty AV	ODC duty other	ODC textual information
36 (4)	37	38	39 (7)	40 (7)	41 (7)
		•••		•••	
			0		
			0		
			0	-	



ODC note number	ODC duty nature	Existing INR	New INR	Existing Implementation To	New Implemen tation From	New Implemer tation To
42	43	44	45 (6)	46	47 (5A)	48 (5B)
	•••					
					-	
	Α			2000		
	Α			2000		
	Α			2000		AND THE PERSON NAMED IN COLUMN
						•••

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Columns in T04 Concession Table



Existing OTC	New OTC	New OTC	New Comments	Secretariat Comments	HS6
49	50 (8)	51	· 52	53	54
		•••		•••	
		·	-		2805
					28051
					280511
	. ,				280512
				·	280519
		•••			

Members' Submission of ECDS



- ❖ The same structure as ENFs
- ❖ Base duties for unbound [SVE, Low Binding]
- New final bound rates
- Other information (implementation, INRs)
- Supporting information for verification
 - Import correlations
 - Correlation tables for changes of tariff line codes or descriptions in ENFs
- Members may take different approaches to prepare their ECDS

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Must Have in the ECDS



T04. Concession Table (non-agricultural products)									
Contractor	97 34	TL			Base	New	New	New	
Versi	o (1	Tariff line	Descripti	Base	duty	bound	Implemen .	Implemen	
n	∰ r	number)	on	Duty	binding	duty AV	tation From	tation To	
1	1 5(1)		15 (2)	23 (3A)	24 (3B)	36 (4)	47 (5A)	48 (5B)	
7.5							,	•••	
02M \	28	305	Alkali or			i			
02M	28	3051	- Alkali d						
02M	28	3051100	Sodi	45	U	/30.0	20??	20??	
02M	28	3051200	Calc	40	В	20.0	20??	20??	
02M /	28	3051900	Othe	45	В	20.0	20??	20??	
		***	,,,		****				

May Have in the ECDS



T04. C	T04. Concession Table (non-agricultural products)										
Versio	TL (Tariff line number)	Descripti on	Base Duty	Base duty binding	Para graph	Sectoral duty	Sectoral implement tation to	New bound duty AV	New INR		
n 1	5 (1)	15 (2)	23 (3A)	24 (3B)	7 27	34	35	36 (4)	45 (6)		
		,,,	.,.			,	,				
02M	2805	Alkali or									
02M	28051	- Alkali q			:						
02M	28051100	Sodi	45	U				(10.0)(xxx		
02M	28051200	Calc	40	B →	(7A2)			40.0	$) \subseteq $		
02M	28051900	Othe	45	В.		(0	20??	20.0			
.1.	<u> </u>										
xxx ((ISO code for Member negotiating the INR										

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Data, tables structure, columns



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