



**FSA**<sup>®</sup>

Financial Services Authority

# FSA Annual International Seminar 2010

'Supporting financial stability'

30 November – 2 December 2010

Programme

FSA Annual International Seminar 2010

# Programme

Tuesday 30 November

09.30	<b>Registration and refreshments</b>	<i>Foyer</i>
10.00	<b>Setting the scene: International developments in financial services regulation</b> Verena Ross, Director of International Division, FSA	<i>Presentation theatre</i>
11.00	<b>Policies towards systemically important financial institutions</b> David Rule, Head of Macro Prudential Department, FSA	<i>Presentation theatre</i>
12.00	<b>Lunch</b>	<i>Committee Rooms A&amp;B and C&amp;D</i>
13.00	<b>Preparing supervisors for the challenges of a post-crisis world: An HR Response</b> Michael Hall, Manager, Training and Competency, FSA	<i>Presentation theatre</i>
14.00	<b>Risk management principles</b> Lyndon Nelson, Director of Risk Management Division, FSA	<i>Presentation theatre</i>
15.00	<b>Tea break</b>	<i>Foyer</i>
15.15	<b>Credible deterrence - An introduction to enforcement</b> Anila Bedi, Manager, Enforcement - Legal Group, FSA <b>Credible deterrence in the criminal courts</b> Martin Watts, Technical Specialist, Enforcement - Legal Group, FSA <b>Unauthorised business activity - a perspective on international enforcement activity and consumer protection</b> Peter Wright, Technical Specialist, Enforcement - Legal Group, FSA	<i>Presentation theatre</i>
17.00	<b>Group photograph</b>	<i>Main reception</i>
17.30 -	<b>Evening reception</b>	
19.00	Hosted by Verena Ross, Director of International Division, FSA	<i>Foyer</i>

Wednesday 1 December

Option	Insurance	
09.45	<b>Opening remarks/Welcome</b> Ken Hogg, Director of Insurance Sector, FSA	<i>Committee Rooms A&amp;B</i>
09.50	<b>Insurance sector background and structure</b> Paul O'Brien, Associate, Insurance Sector Team, FSA	<i>Committee Rooms A&amp;B</i>
10.45	<b>Risk and insurance sector products</b> Mark Davies, Associate, Insurance Sector Team, FSA	<i>Committee Rooms A&amp;B</i>
11.45	<b>Coffee break</b>	<i>Foyer</i>
12.00	<b>Macro prudential surveillance, market-wide stress testing and interconnectedness</b> Michael Zboron, Technical Specialist, Insurance Sector Team, FSA	<i>Committee Rooms A&amp;B</i>
13.00	<b>Lunch</b>	<i>Foyer</i>
14.00	<b>Solvency II</b> Val Smith, Programme Director, Solvency II Implementation, FSA	<i>Committee Rooms A&amp;B</i>
15.00	<b>Tea break</b>	<i>Foyer</i>
15.10	<b>Insurance supervisory approach</b> Yvette Bavin, Associate, Wholesale Insurance Intermediaries, FSA Steven Essex-Crosby, Associate, Major Retail Groups Division, FSA	<i>Committee Rooms A&amp;B</i>
15.50	<b>The FSA's approach to supervision of smaller insurers</b> Bob Martin, Manager, Smaller Firms and Contacts – Mortgages and Insurance Department, FSA Dave Howard, Technical Specialist, Smaller Firms and Contacts – Mortgages and Insurance Department, FSA	<i>Committee Rooms A&amp;B</i>
16.30	<b>End of option 1</b>	

Programme

Wednesday 1 December

<b>Option 2 – Markets</b>		
09.45	<b>Overview of markets regulatory issues</b> Alexander Justham, Director of Markets Division, FSA	<i>Presentation theatre</i>
10.30	<b>Credit rating agencies</b> Christopher Lake, Senior European Regulatory Counsel, Standard & Poor's	<i>Presentation theatre</i>
11.15	<b>Listing Sukuk in London</b> Jill Kwan, Senior Associate, UK Listing Authority, FSA	<i>Presentation theatre</i>
11.45	<b>Coffee break</b>	<i>Foyer</i>
12.00	<b>Regulation in a changing world: UK equity market microstructure</b> Tim Rowe, Manager, Trading Platforms and Settlement Policy, FSA	<i>Presentation theatre</i>
12.30	<b>Short selling</b> Nicholas Hulme, Associate, Major Retail Groups, FSA	<i>Presentation theatre</i>
13.00	<b>Lunch</b>	<i>Foyer</i>
14.00	<b>Market surveillance</b> Anne-Marie Segbedzi, Associate, Transaction Monitoring Unit, FSA <b>Market conduct</b> Carolyn Hodder, Senior Associate, Market Conduct Team, FSA	<i>Presentation theatre</i>
15.00	<b>Tea break</b>	<i>Foyer</i>
15.15	<b>OTC derivatives</b> Barry King and Vanaja Indra, Associates, OTC Derivatives and Post Trade Policy, FSAC	<i>Presentation theatre</i>
16.00	<b>Commodity markets</b> Speaker to be confirmed	<i>Presentation theatre</i>
16.45	<b>End of option 2</b>	

## Thursday 2 December

09.00	<b>New financial regulatory architecture in Europe</b> Carlos Tavares, Chairman of the Committee of European Securities Regulators (CESR) and Chairman of the Portuguese CMVM	<i>Presentation theatre</i>
09.30	<b>The Bank's changing role in financial stability</b> Colin Miles, Senior Adviser, Financial Stability, Bank of England	<i>Presentation theatre</i>
10.00	<b>The FSA's approach to intensive and intrusive supervision</b> Ian Tower, Head of Department, Wholesale Banks and Investment Firms, FSA Sasha Mills, Manager, Major Retail Groups Division, FSA Steve O'Keeffe, Associate, Major Retail Groups Division, FSA	<i>Presentation theatre</i>
11.15	<b>Coffee break</b>	<i>Foyer</i>
11.30	<b>Recovery and resolution plans</b> Matt Lucas, Manager, Recovery and Resolution Team, FSA	<i>Presentation theatre</i>
12.15	<b>Regulating remuneration policies and practices</b> David Raikes, Manager, Remuneration Team, FSA	<i>Presentation theatre</i>
13.00	<b>Lunch</b>	<i>Foyer</i>
14.00	<b>The FSA's approach to consumer protection</b> Richard Taylor, Manager, Retail Distribution Team, FSA Nadege Genetay, Head of Department, Conduct and Redress Policy, FSA	<i>Presentation theatre</i>
15.00	<b>The FSA's approved persons regime: Forthcoming policy changes and practical implementation</b> Nigel Fray, Associate, Governance Policy, FSA <b>Significant influence functions (SIFs) – Our more intrusive approach</b> Brian Corr, Head of Department, Approved Persons and Reporting, FSA	<i>Presentation theatre</i>
16.00	<b>Tea break</b>	<i>Foyer</i>
16.15	<b>Can professionalism improve consumer outcomes</b> Rachel Donaldson, Associate, Professional Standards Policy Team, FSA	<i>Presentation theatre</i>
17.00	<b>Conference closing</b> Karen Northey, Manager, International Stakeholder Engagement, FSA	<i>Presentation theatre</i>
17.15	<b>End of International Seminar</b>	