

## 附錄一

### 參加人員名單

# PARTICIPANT DIRECTORY

## AUSTRIA

Gottfried Konrad, Analyst  
Christoph Kapfer, Legal Officer  
*Financial Market Authority*

## BELGIUM

Jean-Michel Van Cotteem, Deputy Director  
*Banking, Finance and Insurance Commission*

## BRAZIL

Sergio R. Schreiner, Inspector  
Paulo Ribiero Junior, Inspector  
*Comissão de Valores Mobiliários*

## CANADA

Kevin Lewis, Manager, Oversight-Market  
Regulation  
*Alberta Securities Commission*

Claude Gatien, Analyst, Derivative Products  
*Autorité des marchés financiers (Québec)*

## CHINA

Ye Ke, Director, Dept. of Futures Supervision I  
Zhou Xiaozhou, Dept. of Futures Supervision II  
*China Securities Regulatory Commission*

Liu Hui, Director of Research Department  
*China Futures Association*

## COSTA RICA

Rodrigo Segura, Director, Issuer Supervision  
*Superintendencia General de Valores*

## CZECH REPUBLIC

Josef Jilek, Chief Methodologist, FM Regulation  
*Czech National Bank*

## FRANCE

Julia Branthomme, Officer  
*Autorité des Marchés Financiers*

## GERMANY

Eva-Christina Smeets, Senior Advisor  
Bernd Goller, Senior Advisor  
*Bundesanstalt für inanzdienstleistungsaufsicht  
(BAFin)*

## HONG KONG

Irene Tsao, Director, Intermediaries  
Supervision

Denise Ip, Associate Director, Enforcement  
Joan For, Manager, Supervision of Markets  
*Securities and Futures Commission*

## INDIA

S.N. Dulange, Deputy Director  
B.N. Jha, Deputy Director  
Yateendra Nath Khare, Deputy Director  
Neelambuj, Assistant Director  
Rekha A. Nair, Assistant Director  
*Forward Markets Commission*

K. Saravanan, Deputy General Manager  
B.J. Dilip, Deputy General Manager  
*Securities and Exchange Board of India*

## **ITALY**

Gianluca Leggieri, Officer, Financial Intermediaries

Stefano Spagnoli, Officer, Market Abuse Investigation

*Commissione Nazionale per le Società e la Borsa (CONSOB)*

## **JAPAN**

Yayoi Takahashi, Chief Examiner, Market Surveillance

Hiroyuki Suzuki, Inspector  
*Financial Services Agency*

Kenji Inoue, Deputy Director for Market Surveillance

Akiyoshi Sakuraba, Senior Analyst for Commodities  
*Ministry of Economy, Trade and Industry of Japan*

Takamichi Sugawara, Inspector  
Atushi Joden, Market Investigator  
*Tokyo Stock Exchange Regulation*

## **KOREA**

Dong Hwee Seo, Specialist, Asset Management Dept.  
*Financial Supervisory Service*

Young-Min Lee, Deputy General Manager  
Bo-Sung Yoon, Deputy Manager  
*Korea Exchange*

## **MALAYSIA**

Naim Shuib, Manager  
*Central Bank of Malaysia (BNM)*

## **MEXICO**

José Quijano, Director General, Financial Analysis  
*Banco de México*

## **The NETHERLANDS**

Jurgen Veenker, Senior Supervision Officer  
*Authority for Financial Markets*

## **SINGAPORE**

Kwok Wai Lum, Deputy Director  
Janice Chua, Assistant Director  
*Monetary Authority of Singapore*

Elaine Tang, Assistant VP, Risk Management  
Tony Lee, Senior Associate, Member Supervision  
*Singapore Exchange*

## **SPAIN**

Monica Rivas, Senior Analyst  
*Comisión Nacional del Mercado de Valores*

## **SWEDEN**

Jan Sjodin, Head of Securities Markets  
Jan Melin, Senior Investigator, Market Surveillance  
*Finansinspektionen (Swedish Financial Supervisory Authority)*

## **TAIWAN**

Anita Chou, Director

Arthur Chou, Senior Financial Officer

Wen-Ching Peng, Specialist

*Financial Supervisory Commission*

Yu-Hua Hsieh, Assistant Manager

*Taiwan Futures Exchange*

## **THAILAND**

Duangmon Chuengsatiansup,

Director, Broker-Dealer Supervision Dept.

*Securities Exchange Commission*

## **TURKEY**

Mithat Hizli, Executive Board Member

Muhammed Emin Ozer, Executive Board  
Member

Tuncay Yildiran, Head of Department

*Capital Markets Board*

Cetin Ali Donmez, Chief Executive Officer

*Turkish Derivatives Exchange*

## **UNITED ARAB EMIRATES**

Arsheen Saulat, Manager Markets Dept.

*Dubai Financial Services Authori*