

**REPORT OF THE 2ND MEETING OF THE
WORKING GROUP ON CAPACITY**

(Madrid, Spain – July 15 to 16, 2008)

1. Opening of the meeting

The meeting was opened by Driss Meski, Executive Secretary of ICCAT, who welcomed everyone to Madrid, Spain, for the second meeting of the Working Group on Capacity.

In a brief opening statement, Mr. Meski reminded the Group of the importance of their work and hoped that concrete proposals could be submitted for consideration by the Commission.

Thirteen (13) Contracting Parties and one Cooperating non-Contracting Party, Entity or Fishing Entity were present at the Working Group. The List of Participants is attached as **Appendix 2** [CAP 003].

The United States presented an opening statement which is attached as **Appendix 3** [CAP-010-Annex].

2. Election of the Chair

Dr. Chris Rogers (United States) was elected to Chair the meeting.

3. Appointment of the Rapporteur

Ms. LeAnn Hogan (United States) was appointed rapporteur for the meeting.

4. Adoption of Agenda and meeting arrangements

There were no comments on the Agenda. However, at the Chair's suggestion items 5 and 6 were taken together given the overlap of subject matter of the two Agenda items. The Agenda was then adopted with no additional changes. The Agenda is attached as **Appendix 1** [CAP-001].

The Chair made a brief opening statement to summarize the outcome and recommendations of first meeting of the Working Group on Capacity and other developments that occurred since. It was recalled that the Working Group affirmed the relationship between managing fishing capacity and the achievement of ICCAT's stock management objectives. The Chair also noted that the Working Group on Stock Assessment Methods highlighted data constraints and concluded that capacity management by itself could not safeguard against overexploitation due to the likelihood of technological advances in fishing power. Finally, the Chair suggested that the Working Group focus on data issues, short-term measures for eastern Atlantic and Mediterranean bluefin tuna, and a longer term program of work.

5. Consideration of the report and outcomes of the 2008 Working Group on Stock Assessment Methods

and

6. Assessment of the fishing capacity in relation to ICCAT managed stocks for which data are available

At the Chair's suggestion, items 5 and 6 were taken together given the overlap of the subject matter of the two Agenda items.

The ICCAT Secretariat gave a summary of the updated information on ICCAT vessels lists [CAP-006], as assembled from the several databases maintained by the Secretariat. Given continued problems with non-reporting and inconsistencies in vessel information, the Secretariat noted the need for a single vessel list with a standardized reporting format. To this end, the Secretariat recalled Circular 253/08, which provided a consolidated reporting format where vessels are listed only once and details on the vessel attributes and authorizations are included as applicable. A copy of this circular and the corresponding reporting form are attached as **Appendix 4** [Circular #253/08; link to form: <http://www.iccat.int/Forms/COMP-001-VESSELS.xls>]. The Chair encouraged CPCs to familiarize their respective statistical personnel with the revised reporting format.

The ICCAT Secretariat also provided a summary of the Report of the 2008 ICCAT Working Group on Stock Assessment Methods (WGSAM) [CAP-005] and the Report of the 2008 Atlantic Bluefin Tuna Stock Assessment Session by the Bluefin Tuna Species Group [CAP-008]. The WGSAM provided a more detailed assessment of capacity in response to a request from the 2007 Working Group on Capacity. Through use of vessel lists and normalized catch/effort data, total capacity was estimated for the various fleets targeting ICCAT managed species. In addition, the 2007 compliance tables were used to compare reported catches to catch limits as an indicator of potential overcapacity. The WGSAM highlighted data gaps for fleet and catch information and noted the need for economic data in order to perform a complete analysis of the incentives to increase capacity.

The WGSAM also recommended that the respective species groups address overcapacity during their upcoming stock assessments and the BFT assessment group addressed this issue. Due to the incomplete information on CPCs' fleet capacity, work was done by bluefin tuna assessment group to estimate actual catches from the normalized CPUE and the reported number of vessels in each fishery. Of particular concern was an apparent increase in purse seiners targeting bluefin tuna in the Mediterranean between 2005 and 2007. Because there are so many vessels active in the eastern Atlantic and Mediterranean bluefin tuna fishery, excess capacity was linked to estimated harvest which was considerably higher than the levels set by the Commission. In addition, the capacity of farms was estimated to be approximately double the level of authorized harvest.

Questions and comments on presentations:

Some of the delegates questioned the accuracy of the interpretation of the data submitted for the vessel list and that there may be a need to verify information submitted by the CPCs. The ICCAT Secretariat responded that they were using the data that had been submitted by CPCs and that they recognized the need to work together with CPCs to find potential mistakes in the data. It was suggested that a protocol be developed to allow CPCs an opportunity to review their data prior to its use for capacity estimates. To this end, use of the consolidated reporting format was proposed as a means to reduce errors in the ICCAT vessel database.

The Working Group also agreed that the standardized terminology offered by the WGSAM should be adopted for future use (see page 2 of the Report of the 2008 ICCAT Working Group on Stock Assessment Methods [CAP-005]).

7. Consideration of capacity management alternatives for fisheries in which overcapacity is identified

In the longer term, there was a desire among delegates to calculate current and optimal levels of capacity in all fisheries where overfishing of stocks managed by ICCAT may be a problem. There was also an agreement that data submission by CPCs should be improved, and quota management through effective Monitoring, Control, Surveillance (MCS) measures need to be developed as an essential complement to capacity management.

The delegates agreed that overcapacity exists in some fisheries and is contributing to fishery

monitoring and control problems. However, it is important to remember that science-based fishing levels must be enforced and monitored within each CPC. It was also stated that it is important to build on what has already been implemented with respect to monitoring measures and that efforts should focus on where overcapacity is an immediate problem.

The delegates recognized that although data are not complete, this should not be used as an excuse to delay progress in management of capacity. This is especially true for eastern Atlantic and Mediterranean bluefin tuna given the urgent need for action. If there is a failure to implement proper management controls that are consistent with science-based advice and CPCs do not have effective MCS measures, the inevitable stock collapse will have serious economic consequences.

The discussions concentrated on assessing the level of fishing capacity for eastern Atlantic and Mediterranean bluefin tuna. There was a consensus among delegates that, given the high priority ICCAT has placed on this fishery, the Working Group needed to make progress on concrete measures to manage capacity.

The delegate from the United States stated that an immediate reduction in the eastern Atlantic and Mediterranean bluefin tuna fishery was necessary to address the persistent overharvests. The Working Group agreed that, at a minimum, measures were necessary to prevent further increases in capacity for eastern Atlantic and Mediterranean bluefin tuna in the short term while longer term approaches, such as capacity reduction are developed. A delegate stated that ICCAT should not let capacity drive the harvest level. Delegates recalled that ICCAT Recommendation 06-05 serves as a basis for an initial freeze and an eventual reduction of capacity in some eastern Atlantic and Mediterranean bluefin tuna fisheries as the recovery plan anticipated decreasing quotas in subsequent years.

The United States introduced a proposal on capacity controls [CAP-009]. The proposal has essentially two elements, a freeze on all eastern Atlantic and Mediterranean bluefin tuna fishing vessels, as well as a process for reducing capacity in the eastern Atlantic and Mediterranean bluefin tuna purse seine fleet.

Based on the discussion of the U.S. proposal [**Appendix 7** CAP-009A], the delegates generally agreed that a freeze on capacity in the eastern Atlantic and Mediterranean bluefin tuna fishery was a necessary first step. However, there were concerns over the application of the freeze with respect to the list of vessels authorized to fish for eastern Atlantic and Mediterranean bluefin tuna generated in response to Rec. 06-05 or to the vessels among this list that actually fished. It was recognized that CPCs had applied various interpretations of the requirements of the EBFT recovery plan in submitting vessel information to the ICCAT Secretariat in 2007 and 2008. Consequently, there were concerns about using this list as the basis of a freeze and eventual reductions in fishing capacity.

A number of delegations submitted oral and written comments and worked with the United States delegation to amend the proposal [**Appendix 7** CAP-009A] to address their concerns. In discussing the revised proposal, there were mixed views on whether the document addressed the concerns expressed by all parties. Some delegates expressed a need for more flexibility in determining the baseline of vessels subject to the freeze in capacity. Some delegates were concerned that the provisions on vessel substitution or transfer to other fisheries would allow excess capacity problems to persist in ICCAT managed fisheries.

The EC introduced three draft capacity proposals: Draft Proposal for Recommendation by ICCAT on the Limitation of Capacity [**Appendix 5** CAP-011A], Draft Proposal for Recommendation by ICCAT on Exchange of Information on Vessels that Have Participated in the Bluefin Tuna Fishery [**Appendix 6** CAP-012A], and the Draft proposal for Recommendation by ICCAT on Exchange of Information on Vessels that Have Participated in ICCAT Fisheries Other Than Bluefin Tuna [**Appendix 8** CAP-013]. The Working Group discussed the three proposals and felt that while these proposals were complementary and aimed to simplify the Capacity proposal submitted by the United States, it was also desirable to retain elements from the U.S. proposal. In response to the draft proposal on the

limitation of capacity [**Appendix 5** CAP-011A], with regard to limiting the number of traps, Morocco noted that flexibility is necessary in order to address job related issues. They also noted that it may be disruptive to decommission traps that have been previously authorized. After further discussion, the suggestion was made for a small group of delegates to work together to revise the proposals submitted by the EC and the United States to develop a consolidated proposal.

The EC introduced the revised draft Working Group proposals: Recommendation by ICCAT on the Limitation of Capacity [**Appendix 5** CAP-011A] and the Provision of Information on Vessels That Have Participated in the Eastern Atlantic and Mediterranean Bluefin Tuna Fishery [**Appendix 6** CAP-012A]. The intention of the first proposal [**Appendix 5** CAP-011A] was to retain the link to the ICCAT vessel list, referenced in the *Recommendation by ICCAT to Establish a Multi-Annual Recovery Plan for Bluefin Tuna in the Eastern Atlantic and Mediterranean* [Rec. 06-05], implement a freeze of eastern Atlantic and Mediterranean bluefin tuna while setting the stage for steps towards rapid implementation of a capacity reduction program for eastern Atlantic and Mediterranean bluefin tuna as well as launching a similar process to establish capacity management measures for other fleets/fisheries where overcapacity exists as well as for the development of a similar process to establish capacity management measures for other fleets/fisheries where data indicate overcapacity exists and is contributing to fishery management problems. For some elements of the proposal, the text was bracketed to reflect the alternative views of delegates. The second proposal [**Appendix 6** CAP-012A] established a protocol for providing information on vessels that have participated in the EBFT fishery in the preceding year.

There was a discussion among delegates on the inclusion of processing vessels in the measure to freeze capacity that was included in the proposed Recommendation by ICCAT on the Limitation of Capacity [CAP-011A]. The delegates agreed to discuss this matter further before the 2008 ICCAT meeting in the fall. There was general agreement from the delegates that the revised proposals [CAP-011A and CAP-012A] reflected the objectives of the Working Group and provided an important step forward in addressing capacity problems in the eastern Atlantic and Mediterranean bluefin tuna fishery. These are attached as **Appendices 5** and **6**, respectively.

Although the draft proposal for a Recommendation by ICCAT on Capacity Controls and Reductions for the Eastern Atlantic and Mediterranean Bluefin Tuna Fishery [**Appendix 7** CAP-009A], did not reach consensus among all delegates, there was a desire among some of the delegates to attach this proposal as an appendix to the meeting report because of the measures to immediately reduce capacity contained in the proposal.

There was also no consensus on the proposed Recommendation by ICCAT on Exchange of Information on Vessels that Have Participated in ICCAT Fisheries Other Than Bluefin Tuna [**Appendix 8** CAP-013]. It was agreed, however, to include it as an appendix to this report, as the delegates have agreed that more discussion on the exchange of information on vessels that have participated in ICCAT fisheries other than eastern Atlantic and Mediterranean bluefin tuna fishery was necessary before the 2008 annual ICCAT meeting.

There was consensus from the delegates to forward the proposal for a Recommendation by ICCAT on the Limitation of Capacity [CAP- 011A] and the draft proposal for Provision of Information on Vessels That Have Participated in the eastern Atlantic and Mediterranean bluefin tuna [CAP-012A] to the Commission for further consideration at the annual ICCAT meeting, recognizing that additional work was necessary on the proposal for a limitation on capacity [CAP-011A] in particular, to address the bracketed text. The delegates agreed to consult on these issues before the 2008 annual meeting.

8. Other matters

Several delegates intervened regarding future work in the area of managing fishing capacity. A delegate noted that assessments of overcapacity in other ICCAT fisheries would be a logical next step. However, improvements in the vessel, effort, and catch data were vital for robust analyses. A delegate from Canada noted that ICCAT should develop a regional plan of action for capacity management in response to the FAO International Plan of Action on Capacity. A delegate noted that establishing a program of work for the Capacity Working Group would be beneficial, but ICCAT must consider its schedule of intersessionals in 2009. The Working Group agreed that schedules for intersessional meetings should be prioritized by the Commission after it considers the report of the Performance Review Panel.

Several delegations echoed the need for enhanced data collection including economic data, and further analytical work on methods to assess capacity. It was suggested that specific data needs and analytical approaches could be delineated by the respective Panels at the 2008 Commission meeting. In addition, it was noted that the SCRS and the Compliance Committee could examine data needs, including the current use of multiple vessel lists, in order to provide guidance to the Working Group.

9. Adoption of report

The meeting report was distributed in draft form to delegates during the Working Group meeting and was later adopted by correspondence.

The Chair thanked the, Secretariat, interpreters, and Rapporteur for their support and hard work during the meeting. The CPCs expressed their appreciation to the Chair for his efforts in directing the meeting.

10. Adjournment

The meeting was adjourned.

- Appendix 1** Agenda [Cap-001]
- Appendix 2** List of Participants [CAP-003]
- Appendix 3** Opening Statement by Dr. Rebecca Lent, U.S. ICCAT Commissioner [CAP-010 Annex]
- Appendix 4** ICCAT Circular 253/08 and reporting form
- Appendix 5** Draft Recommendation by ICCAT on the Limitation of Capacity [CAP-011A]
- Appendix 6** Draft Proposal for Provision of Information on Vessels that have Participated in the Eastern Atlantic and Mediterranean Bluefin Tuna Fishery [CAP-012A]
- Appendix 7** Draft Proposal for Recommendation by ICCAT on Capacity Controls and Reductions for the Eastern Atlantic and Mediterranean Bluefin Tuna Fishery [CAP-009A]
- Appendix 8** Draft proposal by EC for Recommendation by ICCAT on Exchange of Information on Vessels that Have Participated in ICCAT Fisheries Other Than Bluefin Tuna [CAP-013]

Appendix 1

Agenda [CAP-001]

1. Opening of the Meeting
2. Election of Chair
3. Appointment of Rapporteur
4. Adoption of Agenda and Meeting Arrangements
5. Consideration of the report and outcomes of the 2008 Working Group on Stock Assessment Methods
6. Assessment of the fishing capacity in relation to ICCAT managed stocks for which data are available
7. Consideration of capacity management alternatives for fisheries in which over-capacity is identified
8. Other matters
9. Adoption of Report
10. Adjournment

Appendix 2

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Appendix 3

Opening Statement by

Dr. Rebecca Lent, U.S. ICCAT Commissioner [CAP-010 Annex]

The United States would like to thank the European Community and the Government of Spain for hosting this important intersessional meeting of the Working Group on Capacity. The United States considers fleet capacity control an essential tool for managing our fishery resources and is pleased to participate in the meeting of the Working Group. Two key points should be noted prior to considering measures to address capacity. First, while control of fleet capacity is essential in those fisheries with severe compliance problems, it is simply one tool in our toolbox. Robust conservation and management of stocks requires multiple approaches, including effective monitoring and control measures to ensure compliance. Work on capacity reduction should be conducted in parallel with these other approaches. Second, given the limited time we have at this meeting to address capacity, this Working Group should focus on the urgent issues identified during its first meeting in Raleigh. In particular, the Group should focus on what can be accomplished in the short-term to address the most overcapitalized fishery under the competency of ICCAT, notably eastern Atlantic and Mediterranean bluefin tuna. At the same time, ICCAT should map a way toward long-term progress in capacity reduction in this and other fisheries for which overcapacity causes severe problems with control and compliance.

Again, I would like to thank the Chair, Dr. Christopher Rogers, and the Secretariat for convening this important meeting. We look forward to future progress of the Commission in controlling overcapacity.



Madrid – 14 February 2008

ICCAT CIRCULAR # 253/08

Appendix 4

IMPORTANT NOTICE

SUBJECT: ICCAT RECORD OF VESSELS

I have the honour to inform you that, in accordance with the decision taken by the Commission at its 20th Regular Meeting in November 2007, the Secretariat has published the consolidated list of vessels on the ICCAT web site.

From the web page www.iccat.int – Management - ICCAT Record of Vessels, selection can now be made by all vessels, by 24 metres, by bluefin fishing vessels, by bluefin tuna farming or by carrier vessels, and searches can be made by flag (current flag and reporting flag, which may be different), vessel name, radio call sign or registry number.

The consolidated Record contains a new field indicating the date notified to the Secretariat. As agreed at the 20th Meeting of the Commission, this field has only been activated following the meeting, and submission dates have not been included retroactively.

In addition, the base now contains the dates of authorization for each activity. A presence of a calendar symbol indicates that the vessel is authorized for a particular activity. 📅

By passing the mouse over this symbol, the dates for that activity will appear. Alternatively, click on the symbol and the dates for all activities for which the vessel is authorized will appear. By clicking on the arrow at the end of an entry (➤), the full details of the vessel will appear on a printable page.

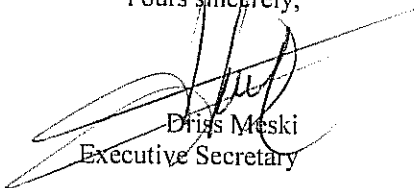
| >24 | BFT Farm | BFT Fish | Carrier | +Details |
|-----|-------------|-------------|---------|----------|
| 📅 | - | - | - | ➤ |
| 📅 | - | - | - | ➤ |
| - | - | 📅 | - | ➤ |
| 📅 | - | - | - | ➤ |

UPDATES

The Record at present contains many vessels which are not currently authorized to fish, according to the authorization dates reported. The Secretariat would be grateful if each Contracting Party and Cooperating non-Contracting Party, Entity or Fishing Entity would examine its data and inform the Secretariat of any changes to be made, particularly with regard to the authorization dates.

Changes should be submitted in accordance with the attached instructions entitled "UPDATES TO ESTABLISHED ICCAT RECORDS OF VESSELS". Failure to submit all the information required by the relevant Recommendations may cause delays in the publication of information.

Yours sincerely,


Driss Meski
Executive Secretary

Distribution: Head Delegates (English):

Commission Chairman: Mr. F. Hazin
First Vice-Chair: Mr. E.J. Spencer
Second Vice-Chair: Mr. A. Share

| | | | |
|-------------|-------------------------|--------------------------------|----------------------|
| Barbados - | Mr. S. Willoughby | Nigeria - | Mr. A. V. Amire |
| Belize | Mr. A. Mouzouropoulos | Norway - | Ms. S. Holst |
| Brazil - | Mr. B. Paranhos Velloso | Philippines - | Mr. G.A. Adora |
| Canada - | Mr. J. Jones | Russia - | Mr. K. G. Kukhorenko |
| China P.R.. | Mr. Xiaobing Liu | South Africa - | Mr. A. Share |
| Croatia - | Mr. I. Katavic | St. Vincent & the Grenadines - | Mr. R. Ryan |
| E.C. - | Mr. J.E. Spencer | Syria | Dr. I. Krøuma |
| Egypt | Mr. E. Ibrahim | Trinidad & Tobago - | Ms. A. M. Jobity |
| Ghana - | Mr. A.Y. Tetebo | Turkey - | Mr. V. Kürtüm |
| Iceland - | Ms. H. Karlsdóttir | UKOT - | Mr. S. Parnell |
| Japan - | Mr. M. Miyahara | USA - | Dr. W. T. Hogarth |
| Korea - | Mr. In Cheol Rah | Vanuatu - | Mr. R. Jimmy |
| Libya - | Mr. A.G. Abukhder | | |
| Namibia - | Mr. A.P. Ithindi | | |

Cooperating Parties, Entities, or Fishing Entities (English):

Chinese Taipei J. Sha ; F.L.Y. Hwang
Guyana D. Permaul
Netherlands Antilles Mr. S. Mambi

cc: Mr. C. Rogers, Compliance Committee Chairman

Attachments: Updates to established ICCAT records of vessels

UPDATES TO ESTABLISHED ICCAT RECORDS OF VESSELS

- Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels over 24 metres Authorized to Operate in the Convention Area [Rec. 02-22]
- Recommendation by ICCAT on Bluefin Tuna Farming [Rec. 06-07]
- Recommendation by ICCAT Concerning a Multi-Annual Recovery Plan for Bluefin Tuna in the East Atlantic and Mediterranean [06-05]
- Recommendation by ICCAT Establishing a Programme for Transshipment by Large-Scale Longline Fishing Vessels [06-11]

Following the decision taken at the 20th Regular Meeting of the Commission in November 2007, and in accordance with the above mentioned Recommendations, the ICCAT Secretariat has consolidated the established Records, published on the ICCAT Web site as:

ICCAT Record of Vessels: <http://www.iccat.int/VesselsRecord.asp>.

This base contains all the vessels reported for inclusion in the following Records, which can be extracted individually.

1. ICCAT Record of Vessels over 24 metres
2. ICCAT Record of BFT Farming Vessels
3. ICCAT Record of BFT Fishing Vessels
4. ICCAT Record of Carrier Vessels

These Records are contained in an inter-related data base, and each vessel entered in an ICCAT Record contains a unique identifying ICCAT List Number, **assigned by the Secretariat**, which begins with the letters AT

Submitting modifications to the ICCAT Record of Vessels

N.B. Delays in the inclusion of your vessels on the ICCAT Records may be incurred by the submission of incomplete information or information which is not submitted in accordance with the instructions below.

Downloaded Excel file (preferred method)

Changes (including deletions) to existing lists can be made by selecting your contracting party (and the list to be modified if only one list is to be changed) and downloading the Excel file by clicking on the instruction "Export to Excel this selection (xls)" which will appear at the top of the window. Changes can be made directly on the Excel file to the existing information and should be **clearly marked**, either by shading the cell or changing the font colour.

New vessels can be added by using additional lines at the end of the file and leaving blank the ICCAT list number. The submission of new vessels for inclusion in the Record(s) must contain all the information required in the Recommendations.

Please note that Excel files containing a very large number of vessels may take some time to download. If you have repeated problems downloading your Excel files, please contact the Secretariat.

Secretariat form

Two Excel forms have been developed, COMP-001-VESSELS.xls and COMP-002-VESS-TSC.xls and are available on the ICCAT web site at <http://www.iccat.int/SubmitCOMP.htm>. Please read these instructions carefully before completing the form.

If submitting information for the ICCAT Record of Vessels over 24m; the ICCAT Record of BFT Farming Vessels and/or the ICCAT Record of BFT Fishing Vessels, form COMP-001-VESSELS.xls should be used. This form contains three sheets; COMP-001-Vessels; ISSCFV; ISSCFG. These latter contain the codes to be used in vessel type and gear type. If submitting information for inclusion on the ICCAT Record of Carrier Vessels, please use the form COMP-002-VESS-TSC.xls.

Please be sure to fill in the authorization dates for each Record on which you wish the vessel to appear (24 Metres; BFT Farming; BFT Fishing). Dates may be different for each Record. **Blanks in these fields will indicate that the vessel is not to be included on that Record.**

Contracting Parties and Cooperating non-Contracting Parties, Entities or Fishing Entities (CPCs) wishing to modify the data to any existing entry or to delete any vessel on existing Records are requested to cite the ICCAT List Number when submitting the changes. Please do **not** re-send complete lists containing the information already entered in the Records.

Where the information to an entry already contained in the Records is being modified, the **changes should be clearly marked**, for example, by shading the relevant cell(s). For vessels being reported for the first time, the field for the ICCAT List Number should be left blank.

An Explanation of Fields and Instructions for Completion of Vessel Forms is attached. If you have any doubts or questions in relation to the completion of the form, please do not hesitate to contact the Secretariat.

**Explanation of fields and instructions for completion of vessel forms
(COMP-001-VESSELS.xls)**

| | | |
|-----------------|--------------------------------------|---|
| Vessel Registry | ICCAT List Number | Internal Secretariat code to identify each vessel entered in the Record. If changes are being submitted to a vessel(s) which are already entered in the ICCAT Record of Vessels, please cite the ICCAT List Number corresponding to the vessel, and mark clearly all the information which is being changed (either by shading or font colour). If the vessel is a new entry to the Record, please leave this cell blank. |
| | International Radio call Sign (IRCS) | Identifying code letters and/or numbers used for identification during radio transmission. The first two characters, or in certain cases the first character, of a call sign constitute the nationality identification. |
| | Registry Number | The national registration number of the vessel as assigned by its flag Party, Entity or Fishing Entity. |
| Vessel name | Current | Current name of vessel. This must be shown in Latin script for entry into the data base. Non-Latin script cannot be read. |
| | Previous | Previous name of the vessel if any, or where known. This must also be shown in Latin script. |
| Flag State | Current | State whose flag a ship currently flies and is entitled to fly |
| | Previous | State whose flag a ship previously flew and was entitled to fly. |
| Measurements | Length (m) | Length of the vessel in metres. Length Overall (LOA), as required by Rec. 02-22, is preferred. |
| | Type of length | Please indicate type of length reported. (LOA, length between perpendiculars, registered length, etc.) |
| | GRT (t) | Gross Registered Tonnage (Oslo tonnage) |
| Vessel Type | ISSCFV category | The type of fishing vessels, as defined by the International Standard Statistical Classification of Fishing Vessels. Only first level information is required. The list of ISSCFV, showing the vessel types included in each category, is attached. |
| Gear Type* | ISSCFG category | The type of gear used, as defined by the International Standard Statistical Classification of Fishing Gears. The list of ISSCFG, showing the gears included in each category, is attached. |

| | | | | |
|---------------------------|---------------------------------|-------------|----------------------|--|
| Operation | Authorized period | 24m | from date to date | Time period in which the vessel is authorized to operate. Dates must be numeric and by day-month-year. e.g. 03-06-2006 is June 3, 2006. |
| | Authorized period | BFT Farming | from date to date | Time period in which the vessel is authorized to operate for bluefin tuna farming purposes. Dates must be numeric and by day-month-year. e.g. 03-06-2006 is June 3, 2006. |
| | Authorized period | BFT Fishing | from date to date | Time period in which the vessel is authorized to fish for east Atlantic and/or Mediterranean bluefin tuna. Dates must be numeric and by day-month-year. e.g. 03-06-2006 is June 3, 2006. |
| | By-catch (Yes/No) | | | If the vessel is authorized to fish for E-BFT, please indicate whether BFT is taken as by-catch only (non-targeted species) |
| | Previously deleted/suspended by | | | If the vessel has previously been deleted or suspended from the register of any other flag state, please specify which register |
| | Active ? (Yes/No) | | | Indicate whether vessel is currently actively fishing. (Vessels may be authorized to operate, but do not do so) |
| | Ownership | Owner Name | | |
| Owner Address | | | | |
| Owner City | | | | |
| Owner Zip / Postal Code | | | | |
| Owner Country | | | | |
| Operator Name | | | | |
| Operator Address | | | | |
| Operator City | | | | |
| Operator Zip /Postal Code | | | | |
| Operator Country | | | | |

| | | | |
|----------------------|------------------------|--|---|
| Optional information | Owner Tel | | |
| | Owner Fax | | |
| | Owner E-mail | | |
| | Operator Tel | | |
| | Operator Fax | | |
| | Operator Email | | |
| | IMO ID | | Vessel identifying code assigned by Lloyd's Register of Shipping |
| | Date Built | | Year in which vessel was built |
| | Shipyard Nation | | Flag State in which vessel was built |
| | Home port | | Equivalent to Port of Call in HSVAR |
| | Total Vessel Depth (m) | | Measurement from the underside of a vessel's deck to the bottom of its hold |
| | Power Class (HP) | | Vessel power class in BHP, i.e. "actual" or "useful" horsepower |
| VMS | | Type of Vessel Monitoring System installed | |

* For carrier vessels, this field has been replaced with carrying capacity. Metric tons are preferred. If another measurement, e.g. m³ is being used, please specify.

**WORKING GROUP DRAFT PROPOSAL FOR A RECOMMENDATION
BY ICCAT ON THE LIMITATION OF CAPACITY [CAP-011A]**

RECALLING the Food and Agriculture Organization International Plan of Action for the Management of Fishing Capacity;

RECOGNIZING that capacity controls can be a useful management tool but that robust conservation and management of stocks requires multiple approaches, including effective monitoring and control measures to ensure compliance;

AWARE that ICCAT has adopted a variety of management measures for its fisheries, including monitoring and control measures to improve compliance and reduce illegal, unreported, and unregulated fishing;

ALSO AWARE of the *Recommendation by ICCAT Concerning a Multi-year Conservation and Management Plan for Bluefin Tuna in the East Atlantic and Mediterranean* [Rec. 06-05], which contains numerous fishery monitoring and control requirements;

SERIOUSLY CONCERNED about the rapid decline of the eastern Atlantic and Mediterranean stock of bluefin tuna and the continuing poor compliance with catch limits and other fishery management measures;

CONSIDERING the reports of the SCRS that assess capacity, in particular the Working Group on Stock Assessment Methods and the SCRS Bluefin Tuna Species Group;

RECOGNIZING that significant overcapacity exists in the eastern Atlantic and Mediterranean bluefin tuna fishery, particularly in the purse seine fleet;

FURTHER RECOGNIZING that some CPCs have taken action to freeze the capacity of farms under their jurisdiction and encouraging others to do the same;

UNDERSTANDING that overcapacity is exacerbating fishery monitoring and control problems which contribute to non-compliance with conservation and management measures;

RESPECTING ICCAT Criteria for the Allocation of Fishing Possibilities [01-25];

Taking Account Of the deliberations and recommendations of ICCAT's Capacity Working Group, particularly concerning the eastern Atlantic and Mediterranean bluefin tuna fishery;

**THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF
ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:**

1. CPCs shall limit the number, and the corresponding gross registered tonnage, of their vessels participating in the eastern Atlantic and Mediterranean bluefin tuna fishery to the number and tonnage of their vessels that fished for, retained on board, transhipped, transported, or landed bluefin tuna during the period 1 January 2007 to 1 July 2008. This limit shall be applied by gear type for fishing vessels and by vessel type for other vessels.
2. Paragraph 1 above shall not be interpreted to affect the measures contained in Annex 1 paragraphs 1 and 2 of Recommendation 06-05.

3. CPCs shall limit the number of their traps engaged in the Eastern Atlantic and Mediterranean bluefin tuna fishery to the number authorized by each CPC by 1 July 2008.
4. CPCs shall notify ICCAT of the actions taken pursuant to paragraphs 1 and 3 before 1 July 2009.
5. CPCs shall prevent any transfer of capacity from the eastern Atlantic and Mediterranean bluefin tuna fishery to another ICCAT fishery unless the CPC can demonstrate that it has sufficient fishing possibilities to provide for such transfer. CPCs should prevent any transfer of capacity from the eastern Atlantic and Mediterranean bluefin tuna fishery to any other fishery where there is recognized overcapacity.
6. [The Commission shall monitor compliance with these measures annually and consider appropriate action.]

[If the Commission, through the Compliance Committee or PWG, determines that a CPC is out of compliance with the general rules on capacity for the eastern Atlantic and Mediterranean bluefin tuna fishery, including by not reporting as required in this Recommendation, the Commission will recommend appropriate [rectifying] [corrective] actions. Where feasible and appropriate, such actions will first include establishing a maximum number of vessels that can operate in the fishery and are posted on the ICCAT vessel record that ensures a level of capacity commensurate with fishing possibilities as well as removal of vessel(s) from the ICCAT vessel record for this fishery, in particular, as reflected by full compliance with a CPC's quota/catch limits. Other actions may also be taken. Where such actions have proven unsuccessful or would not be effective, the Commission will consider action under the Recommendation by ICCAT Concerning Trade Measures [Rec. 06-13].]

7. Taking into account actions already taken by certain CPCs to freeze their farming capacity, specific measures related to farming capacity shall be developed.
8. The provisions of this measure apply only to the eastern Atlantic and Mediterranean bluefin tuna fishery. A similar process for establishing measures to manage the capacity of other fleets and fisheries should be considered by the 2009 annual meeting, provided available scientific data indicate that overcapacity exists and that it contributes to control and compliance problems, particularly over harvests of fishing possibilities.
9. The measures in this Recommendation shall be a first step towards rapid implementation of a capacity reduction program for the eastern Atlantic and Mediterranean bluefin tuna fleet.

Appendix 6

DRAFT PROPOSAL FOR PROVISION OF INFORMATION ON VESSELS THAT HAVE PARTICIPATED IN THE EASTERN ATLANTIC AND MEDITERRANEAN BLUEFIN TUNA FISHERY [CAP-012A]

1. By 1 March each year, each CPC shall notify the ICCAT Secretariat of the vessels flying its flag included on the ICCAT Record of Vessels Authorized to Fish for Bluefin Tuna pursuant to Recommendation 06-05 that have fished for, retained on board, transhipped, transported, or landed bluefin tuna in the preceding fishing year.
2. The ICCAT Secretariat shall publicise this information through its website.
3. Each CPC shall notify the ICCAT Secretariat of any information concerning vessels not covered

in paragraph 1 but known to or presumed to have participated in the Eastern Atlantic and Mediterranean bluefin tuna fishery. The ICCAT Secretariat shall forward such information to the flag State for action as appropriate, with a copy to other CPCs for information.

4. The Commission shall review such cases at its meetings.

Appendix 7

DRAFT PROPOSAL FOR RECOMMENDATION BY ICCAT ON CAPACITY CONTROLS AND REDUCTIONS FOR THE EASTERN ATLANTIC AND MEDITERRANEAN BLUEFIN TUNA FISHERY [CAP-09A]

Draft Capacity Working Group Document

RECALLING the Food and Agriculture Organization International Plan of Action for the Management of Fishing Capacity;

RECOGNIZING that capacity controls can be a useful management tool but that robust conservation and management of stocks requires multiple approaches, including effective monitoring and control measures to ensure compliance;

AWARE that ICCAT has adopted a variety of management measures for its fisheries, including monitoring and control measures to improve compliance and reduce illegal, unreported, and unregulated fishing;

ALSO AWARE of the *Recommendation by ICCAT Concerning a Multi-year Conservation and Management Plan for Bluefin Tuna in the East Atlantic and Mediterranean* [Rec. 06-05], which contains numerous fishery monitoring and control requirements;

SERIOUSLY CONCERNED about the rapid decline of the eastern Atlantic and Mediterranean stock of bluefin tuna and the continuing poor compliance with catch limits and other fishery management measures;

CONSIDERING the reports of the SCRS that assess capacity, in particular the Working Group on Stock Assessment Methods and the SCRS Bluefin Tuna Species Group;

RECOGNIZING that significant overcapacity exists in the eastern Atlantic and Mediterranean bluefin tuna fishery, particularly in the purse seine fleet;

UNDERSTANDING that overcapacity is exacerbating fishery monitoring and control problems which contribute to non-compliance with conservation and management measures;

RESPECTING ICCAT Criteria for the Allocation of Fishing Possibilities [01-25];

TAKING ACCOUNT OF the deliberations and recommendations of ICCAT's Capacity Working Group, particularly concerning the eastern Atlantic and Mediterranean bluefin tuna fishery;

THE INTERNATIONAL COMMISSION FOR THE CONSERVATION OF
ATLANTIC TUNAS (ICCAT) RECOMMENDS THAT:

General Rules for Capacity Controls: Eastern Atlantic and Mediterranean Bluefin Tuna Fishery

1. Except as provided in paragraph 2, Contracting Parties and Cooperating non-Contracting Parties, Entities, and Fishing Entities (CPCs) with vessels authorized to fish for eastern Atlantic and Mediterranean bluefin tuna, which are reflected in the ICCAT vessel record for this fishery as established in the *Recommendation by ICCAT Concerning a Multi-year Conservation and Management Plan for Bluefin Tuna in the East Atlantic and Mediterranean* [Rec. 06-05] (i.e., the ICCAT vessel record), shall immediately take the following steps to halt expansion of fishing capacity in that fishery:
 - a) Limit the total number of vessels authorized to fish for eastern Atlantic and Mediterranean bluefin tuna in 2009 and beyond to the level reflected in the ICCAT vessel record anytime between June 1, 2007 and June 1, 2008.
 - b) Prohibit improvements, in 2009 and beyond, to the physical characteristics of the vessels on the ICCAT vessel record as established in paragraph 1(a) that would increase the capacity of these vessels except as provided below. Information on the physical characteristics includes, but is not limited to, that required for posting on ICCAT's authorized vessel list (recommendation 02-22 as amended in 2007), including vessel type, length, and gross registered tonnage).
2. [CPCs seeking to increase the total number or enhance the physical characteristics of vessels in their eastern Atlantic and Mediterranean bluefin tuna fleet may only do so provided they demonstrate to the Commission that they have sufficient fishing possibilities to justify such increases, the overall number of vessels of all CPC fleets combined does not increase beyond the level reflected in the ICCAT vessel record established pursuant to Paragraph 1(a), and the proposed vessel improvements do not alter the overall makeup of the physical characteristics of the eastern Atlantic and Mediterranean fleet (i.e., for all CPC fleets combined) as specified under paragraph 1(b). Each CPC must document this information in writing to the Commission by the date the new vessels enter the fishery or the enhancements are completed.]
3. CPCs shall prevent the transfer of capacity from the eastern Atlantic and Mediterranean bluefin tuna fishery to any other ICCAT fishery suffering overcapacity which is exacerbating control and compliance problems [unless the CPC demonstrates it has sufficient fishing possibilities to provide for such increases]. Shifting fleet capacity to fisheries in other oceans where overcapacity is exacerbating control and compliance problems and/or where sufficient fishing possibilities are not available should also be prevented.
4. In order to monitor and ensure compliance with these limitations, CPCs with vessels on the ICCAT vessel record shall present a report to the ICCAT Conservation and Management Measures Compliance Committee (Compliance Committee) or Permanent Working Group for the Improvement of ICCAT Statistics and Conservation Measures (PWG) each year at least 30 days before the annual ICCAT meeting as an annex to its annual report, summarizing and explaining any fleet adjustments. [This information shall include:]
 - a) [The total number of vessels to be added to and/or removed from the respective national fleet of a CPC and specific information on those vessels, including on their physical characteristics, which is detailed enough to evaluate vessel capacity and the disposition of vessels removed;]
 - b) [A clear explanation of how the addition of any new vessels to or the enhancement of vessels in the fleet of a CPC which are authorized to fish actively for eastern Atlantic and Mediterranean bluefin tuna will impact the CPC's fishing capacity relative to its fishing

possibilities. In particular, the CPC will demonstrate how its total fishing capacity is commensurate with those fishing possibilities; and]

- c) [A clear explanation of how the addition of any new vessels to or the enhancement of vessels in the fleet of a CPC which are authorized to fish actively for eastern Atlantic and Mediterranean bluefin tuna does not increase total aggregate number of vessels in the fishery beyond the 2008 level and does not increase the capacity of the eastern Atlantic and Mediterranean bluefin tuna fishery in aggregate.]
5. If the Commission, through the Compliance Committee or PWG, determines that a CPC is out of compliance with the general rules on capacity for the eastern Atlantic and Mediterranean bluefin tuna fishery, including by not reporting as required in this Recommendation, the Commission will recommend appropriate [rectifying] [corrective] actions. Where feasible and appropriate, such actions will first include establishing a maximum number of vessels that can operate in the fishery and are posted on the ICCAT vessel record that ensures a level of capacity commensurate with fishing possibilities for this fishery, in particular, as reflected by full compliance with a CPC's quota/catch limits. Other actions may also be taken. Where such actions have proven unsuccessful or would not be effective, however, the Commission will consider action under the *Recommendation by ICCAT Concerning Trade Measures* [Rec. 06-13].

Special Capacity Provisions: Reduction in Eastern Atlantic and Mediterranean Bluefin Tuna Purse Seine Fleet

6. In addition to implementing paragraph 1 above, each CPC with purse seine vessels included on the ICCAT vessel record shall develop a capacity control and reduction program for this fleet which brings its total eastern Atlantic and Mediterranean bluefin tuna harvest to a level commensurate with its fishing possibilities, in particular, as reflected by full compliance with a CPC's quotas/catch limits.
7. Each such CPC will provide a description of its capacity control and reduction program, which includes fishery monitoring and control elements, to the Commission at least 90 days before the 2009 meeting. In addition, each such CPC will provide to the Commission a report on the implementation of this program at least 90 days before its 2009, 2010, 2011, [and 2012] meetings. Information to be included in the capacity control and reduction program as well as the implementation report to the Commission shall include, at a minimum:
 - a) The total number of vessels to be removed from the CPC's domestic fleet and specific information on those vessels, including their physical characteristics, which is detailed enough to evaluate vessel capacity. Such information must include, but is not limited to, that required for posting on the ICCAT vessel record;
 - b) A clear explanation of how the reduction in the purse seine fleet will impact the CPC's overall fishing possibilities. The CPC will demonstrate how adjustments to the purse seine fleet will affect the fishing capacity of its total fleet (all gear types), as included in the ICCAT vessel record, to ensure that its overall capacity level is commensurate with those fishing possibilities, in particular, as reflected by full compliance with a CPC's quota/catch limits;
 - c) Specifics on the CPC's monitoring and control program which clearly demonstrate how the CPC is ensuring effective implementation of the fleet reduction and compliance with all fishery rules.
8. Implementation of the capacity control and reduction program shall be initiated by 2010 and completed no later than November [2011] [2012].
9. If the Commission, through the Compliance Committee or PWG, determines that a CPC is out of

compliance with the special rules on capacity for the eastern Atlantic and Mediterranean bluefin tuna purse seine fishery, including by not reporting as required in this Recommendation, the Commission will recommend appropriate [rectifying] [corrective] actions. Where feasible and appropriate, such actions will first include establishing a maximum number of vessels that can operate in the fishery and be posted on the ICCAT vessel record that ensures a level of capacity commensurate with fishing possibilities for this fishery, in particular, as reflected by full compliance with a CPC's quota/catch limits. If data are not available from the respective CPC to make such a determination, the Commission shall apply a capacity level of [150 t] [300 t] to each of the purse seine vessels in that CPC's fleet to determine the maximum number of vessels from the respective CPC to be allowed in the fishery. Where such actions have proven unsuccessful or would not be effective, however, the Commission will consider action under the *Recommendation by ICCAT Concerning Trade Measures* [Rec. 06-13].

10. The provisions of this measure apply only to the eastern Atlantic and Mediterranean bluefin tuna fishery. Similar provisions should be applied to other fleets and fisheries provided available scientific data indicate that overcapacity exists and that it contributes to control and compliance problems, particularly over harvests of fishing possibilities.

Appendix 8

DRAFT PROPOSAL BY EC FOR RECOMMENDATION BY ICCAT ON EXCHANGE OF INFORMATION ON VESSELS THAT HAVE PARTICIPATED IN ICCAT FISHERIES OTHER THAN BLUEFIN TUNA [CAP-013]

1. By 1 March each year, each CPC shall notify the ICCAT Secretariat of the vessels of more than 24 metres flying its flag that have participated in any fishery of a species covered by the ICCAT Convention, other than the eastern Atlantic and Mediterranean bluefin tuna fishery, in the preceding year.
2. To that purpose, each CPC shall base its notification on the vessels flying its flag that are included in the ICCAT record of vessels over 24 metres authorised to operate in the Convention area. Each CPC shall indicate whether these vessels have participated or not in such fisheries in the preceding year and shall specify which species were targeted.
3. The ICCAT Secretariat shall publicise this information through its website.
4. Each CPC shall notify the ICCAT Secretariat of any information concerning vessels not covered in paragraph 1 but known to or presumed to have participated in such fisheries. The ICCAT Secretariat shall forward such information to the flag State for action as appropriate, with a copy to other CPCs for information.
5. The Commission shall review such cases at its meetings.